



Food and Agriculture  
Organization of the  
United Nations



Indian Ocean Tuna Commission  
Commission des Thons de l'Océan Indien

iotc ctoi

# IOTC-2024-CoC21-sCR29-YEM [E]

## 2024 **Summary** Compliance Report for: Yemen

### Report date: 12 April 2024 - 06:51

Note: Acronyms and definitions can be consulted last page of the Compliance Report.

Req. n°	Source (para n°) (year)	Information required	Deadline	Previous timeliness	Previous status	Current timeliness	Current status	Observations	CPC remarks
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## 1. Implementation obligations

1.1	Art. X Agreement (2023)	Report of Implementation	14/3/2024	N/C	N/C	N/C	N/C2	Failed to submit the Implementation Report.	
1.2	Rules of Proc. (4.1) (2023)	Compliance Questionnaire	24/2/2024	N/C	N/C	N/C	N/C2	Failed to submit the standard questionnaire on compliance.	

1.3	SC04 (111) (2022)	National Scientific Report	19/11/2023	N/C	N/C	N/C	N/C2	<b>No NR report received. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
1.4	Commission (S17 p. 52) (2023)	Response to Feedback letter	14/3/2024	N/C	N/C	N/C	N/C2	<b>No response to FL submitted. Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b>
1.5	Art. X & XI.2 Agreement (2023)	Transposition of CMMs into national legislation	14/3/2024	-/-	-/-	N/C	N/C1	<b>Failed to implement, ensure compliance with the obligation. Mandatory information, legislation, system / procedures not provided.</b>

## 2. Management Standards

2.7b	Res. 15/01 (4) (2023)	Coastal data recording system	31/12/2023 (Since 15.02.2016)	-/-	-/-	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
2.8	Res. 17/07 (2) (2023)	Ban on large-scale driftnets in IOTC Area (2)	14/3/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
2.9	Res. 17/07 (6) (2023)	MCS actions related to large-scale driftnets in IOTC Area	14/3/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
2.11c	Res. 23/01 (4) (2024)	AFAD management plan	1/1/2024	-/-	-/-	N/C	N/C1	<b>No information provided on AFADs fisheries for 2024. Failed to implement, ensure compliance with the obligation. Mandatory information, legislation, system / procedures not provided.</b>

2.13	Res. 16/07 (1&2) (2023)	Prohibition of surface, submerged artificial lights to attract fish	31/12/2023 (Since 27.09.2016)	N/C	N/C	N/C	N/C2	Applies to all vessels beyond territorial Waters. <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.14	Res. 16/08 (1) (2023)	Prohibition of aircrafts & unmanned aerial vehicles	31/12/2023 (Since 27.09.2016)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.21	Res. 18/07 (1) (2023)	Report actions taken to implement reporting obligations & improve data collection of catches	14/3/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.22	Res 11/02 (6) (2023)	Reports observations of damaged data buoys	14/3/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.23	Res 11/02 (2) (2023)	Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy	4/1/2024 (Since 2011)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.24	Res 11/02 (3) (2023)	Prohibition from taking on board data buoys	4/1/2024 (Since 2011)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
2.27	Res. 19/03 (2) (2023)	Prohibition of intentionally setting any gear type on mobulid rays	29/12/2023 (Since 2019 (All gears))	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	

## 3. Reporting on Vessels

3.2	Res. 19/07 (8) (2023)	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	28/2/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
3.3	Res. 19/07 (4.1) (2023)	Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	31/12/2023 (Within 15 days before fishing)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
3.4	Res. 19/07 (4.2) (2023)	Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	31/12/2023 (Within 15 days before fishing)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>
3.5	Res. 19/07 (6) (2023)	Start, suspension, resumption and termination of the fishing operations of chartering agreement	31/12/2023 (Since 2018)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>
3.8	Res. 14/05 (1) (2023)	List of foreign vessels licensed in EEZ	15/2/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
3.9	Res. 14/05 (6) (2023)	Foreign vessels denied a licence	15/2/2024	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
3.10	Res. 14/05 (3&5) (2023)	Access Agreement information	14/3/2024 (Since 26.02.2015)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
3.11	Res. 14/05 (7, 8) (2023)	Official coastal State fishing License	31/12/2023 (Since 14.01.2014)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>

3.12	Res. 21/01 (26) (2023)	List of vessels fishing for yellowfin tuna	15/2/2024	N/C	N/C	N/C	N/C2	No vessel on the Record of authorised Vessels in 2022. Is an IOTC coastal State, has coastal fisheries. <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>
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## 4. Vessel Monitoring System

## 5. Mandatory statistical requirement – Flag State CPCs

5.1	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Nominal Catches – Coastal Fisheries	30/6/2023	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Leg:</b> NO – Not Submitted. <b>STD:</b> NO - No data submitted. <b>SP:</b> NO – Not provided & described for i) ii) iii). <b>Obs:</b> Legislation and System/procedure assessments are valid for 5.1 to 5.20.
5.4	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Nominal catch – Discards	30/6/2023	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.
5.5	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Nominal catch – Report on zero catches matrix	30/6/2023	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.
5.6	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Catch & Effort – Coastal fisheries	30/6/2023	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.

5.9	Res. 15/02 (1 to 7), 18/05 (8), 18/07 (4), 19/02 (4, 22 & 24) (2022)	Size Frequency – Coastal fisheries	30/6/2023	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.	
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## 6. Implementation of mitigation measures and bycatch of non-IOTC species

6.1	Res. 17/05 (3) (2023)	Prohibition on shark finning	31/12/2023 (Since 03.10.2017)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
6.2	Res. 12/09 (2) (2023)	Prohibition on thresher sharks - all species of family Alopiidae	31/12/2023 (Since 07.07.2010)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
6.4	Res. 19/03 (3) (2023)	Prohibition to retain on-board, tranship, land, store mobulid rays	31/12/2023 (Since 29.10.2019)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
6.5	Res. 19/03 (5, Annex 1) (2023)	Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays	31/12/2023 (Since 29.10.2019)	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	
6.9	Res. 12/04 (5) (2023)	Report on progress of implementation of Res. 12/04 (2)	14/3/2024	N/A	N/A	N/C	N/C1	Failed to implement, ensure compliance with the obligation. Mandatory information, system / procedures not provided.	
6.12	Res 18/05 (5) (2023)	Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length	31/12/2023 (Since 04.10.2018)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, system / procedures not provided.</b>	

6.15	Res 18/02 (4) (2022)	Information on actions taken domestically to monitor catches of Blue Shark	19/11/2023 (SC)	N/C	N/C	N/C	N/C2	<b>No NR received. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	
6.16	Res 18/05 (9) (2022)	Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish	19/11/2023 (SC)	N/C	N/C	N/C	N/C2	<b>No NR received. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	

## 7. Illegal, Unreported and Unregulated (IUU) Vessels

## 8. Transhipments

## 9. Observers

9.1	Res. 11/04 (9) (2022)	Regional Observer Scheme (2) (No. of vessels monitored and coverage by gear type)	19/11/2023	-/-	-/-	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	
9.3	Res. 11/04 (4) (2022)	5 % Artisanal landings (2)	19/11/2023 (Since 2013)	-/-	-/-	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	

## 10. Statistical document programme

10.1	Res. 01/06 (5) (2023)	1st Semester report (2022)	1/10/2023	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
10.2	Res. 01/06 (5) (2022)	2nd Semester report (2021)	1/4/2023	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>

## 11. Port inspection

11.1	Res. 05/03 (8) (2022)	Port inspection programme : foreign vessels landings	1/7/2023	N/C	N/C	N/C	N/C2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.2	Res. 16/11 (5.1 & 19.1a) (2023)	List of designated ports	31/12/2023 (Since 31.12.10)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>
11.3	Res. 16/11 (5.1 & 19.1c) (2023)	Designated competent Authority	31/12/2023 (Since 31.12.10)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>
11.4	Res. 16/11 (5.1 & 19.1b) (2023)	Prior notification periods	31/12/2023 (Since 31.12.10)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.5	Res. 16/11 (13.1) (2023)	Port inspection reports	31/12/2023 (3 days after inspection)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>



11.6	Res. 16/11 (10.1) (2023)	At least 5% inspection of LAN/TRX	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.7	Res. 16/11 (7.3) (2023)	Denial of entry in port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.8	Res. 16/11 (9.3) (2023)	Denial of use of port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.9	Res. 16/11 (9.4) (2023)	Withdrawal of denial of use of port	31/12/2023 (Since 01.03.2011)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>
11.10	Res. 16/11 (15.1) (2023)	Report vessel engaged in IUU fishing following an inspection	31/12/2023 (Depuis 01.03.2011)	N/C	N/C	N/C	N/C2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>

# Current issues on the level of implementation by Yemen of IOTC Conservation and Management Measures identified for discussion in the CoC21 in 2024

Having reviewed the 2024 Draft Compliance Report for Yemen, the Chair of the Compliance Committee has identified the following significant repeated non-compliance issues for discussion.

## Repeated non-compliance issues

Requirement	Observations	Current status (2024)	Previous status (2023)
1.1	Failed to submit the Implementation Report.	N/C2	N/C
1.2	Failed to submit the standard questionnaire on compliance.	N/C2	N/C
1.3	No NR report received. Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	N/C
1.4	No response to FL submitted. Failed to implement, ensure compliance with the same obligation for two or more consecutive years.	N/C2	N/C
2.8	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	N/C
2.9	Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.	N/C2	N/C

2.13	Applies to all vessels beyond territorial Waters. <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.14	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.21	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.22	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.23	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.24	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
2.27	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.3	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.4	<b>Failed to implement, ensure compliance with the obligation for two or more consecutive years.</b> <b>Mandatory information, system / procedures not provided.</b>	N/C2	N/C

3.5	<b>Failed to implement, ensure compliance with the obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>	N/C2	N/C
3.8	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.9	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.10	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.11	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
3.12	No vessel on the Record of authorised Vessels in 2022. Is an IOTC coastal State, has coastal fisheries. <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
5.1	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Leg:</b> NO – Not Submitted. <b>STD:</b> NO - No data submitted. <b>SP:</b> NO – Not provided & described for i) ii) iii). <b>Obs:</b> <i>Legislation and System/procedure assessments are valid for 5.1 to 5.20.</i>	N/C2	N/C
5.4	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.	N/C2	N/C
5.5	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <b>Standard:</b> NO - No data submitted.	N/C2	N/C

5.6	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <u>Standard:</u> NO - No data submitted.	N/C2	N/C
5.9	<b>Failed to ensure compliance of the same obligation for two or more consecutive years.</b> <u>Standard:</u> NO - No data submitted.	N/C2	N/C
6.1	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
6.2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
6.4	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
6.5	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
6.12	<b>Failed to ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, system / procedures not provided.</b>	N/C2	N/C
6.15	<b>No NR received.</b> <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
6.16	<b>No NR received.</b> <b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
10.1	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years.</b> <b>Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C

10.2	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.1	<b>Failed to implement, ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.2	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>	N/C2	N/C
11.3	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, system / procedures not provided.</b>	N/C2	N/C
11.4	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.5	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.6	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.8	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.7	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
11.9	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C

11.10	<b>Failed to ensure compliance with the same obligation for two or more consecutive years. Mandatory information, legislation, system / procedures not provided.</b>	N/C2	N/C
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*Note: if the table above is empty, it means there were no repeated compliance issues identified this year.*

# Reading instructions

(1) Information is to be provided in the Report of Implementation

(2) 24 meters overall length and over, and under 24 meters if they fished outside their EEZ

(Year) = year reporting on/year assessed.

**LEG:** Legislation - Transposition of Commission decisions, Legislation or administrative orders.

**STD :** Standard - Reporting format, IOTC standard

**SP:** System or procedures - Provision of information on system or procedures a) to monitor and ensure compliance of vessels and persons OR b) to prepare and submit information, data, report to the Commission.

i) ii) iii) = a) b) c)

## Assessment

### Timeliness

- **C:** Compliant
- **L:** Late
- **N/A:** Not applicable
- **N/C:** Non compliant
- **P/C:** Partially compliant

### Compliance

- **C:** Compliant
- **C/B:** Capacity building
- **N/A:** Not applicable
- **N/C1:** Not compliant 1
- **N/C2:** Not compliant 2
- **P/C:** Partially compliant

## CoC/Commission recommendations

- **Additional info or address the issue:** Provide additional information or address the compliance issue within a given period of time, and at the latest before the following annual meeting.
- **Actions proposed by the CPC:** Actions proposed by the CPC and endorsed by the Commission.
- **Investigation by the CPC:** Completion of an investigation by the CPC regarding a compliance issue and reporting back to the Compliance Committee, where required.
- **Enhanced monitoring of fleet:** Enhanced monitoring of the fleet.
- **Amendments to national rules:** Amendments to domestic procedures, legislation or policy including penalties, where required.
- **Detailed plan and timeline:** Submit, within 3 months from the end of the Commission annual session, a detailed plan and timeline on how it intends to address the non-compliance of category 2 identified.
- **Capacity building or assistance:** Provision of capacity building or technical assistance for a specified amount of time.
- **Other remedies:** Other remedies.



## “Missing” values:

- “-/-”: no possible value (e.g.: no previous assessment possible because this is the first campaign in which the requirement is assessed);
- “None”: no value provided by the Secretariat (e.g. requirement assessed as Compliant, no text provided in “Observations”);
- “Not assessed”: for timeliness and compliance when no assessment has been made yet or the requirement is not assessable;
- “-”: no information provided by the CPC for this question (e.g. nothing entered in a text entry field, no boxes checked...);
- “Not Submitted”: the value will only be available after submission of the report (e.g. the “Report date”).