

UPDATE ON IMPROVEMENT OF THE *E-MARIS APPLICATION V2* (*SINCE WGAV02*)

**3rd MEETING OF THE *AD HOC* WORKING GROUP ON APPENDIX
V OF THE IOTC RULES OF PROCEDURE**

Secretariat

Indian Ocean Tuna Commission

STRUCTURE OF INDIVIDUAL REQUIREMENT

▶ Aligned with the RoP: information e-MARIS asks to the CPCs are based on the 3 elements of the RoP that Secretariat is requested to assess, They are 1) System/Procedure (SP: SPR / SPV); 2) Data/Information (STD - Submission & if standard have been complied with); 3) Legislation (LEG).

▶ **Part A – System / Procedure:** information asked by e-MARIS is standard for all REQs, it is adapted to the nature of the requirement and if it is a SP Vessel or a SP Reporting.

Note: SP to report to the Commission is NOT REQUIRED by para 94 of CoC21 report.

▶ **Part B – Reporting data and information:** information asked by e-MARIS is also adapted to the nature of the requirement and the nature of the information requested by the IOTC Standard (in principle defined in the Resolution).

▶ **Part C - Laws, regulations and administrative instructions in force:** information asked by e-MARIS is standard for all REQs (Upload the legislation + Enter the reference of legislation in force + Provide the text of legislation in force related to the requirement).

Note: LEG to report to the Commission is NOT REQUIRED by para 94 of CoC21 report to implement reporting obligations that are addressed to CPCs.

- ▶ Information from the Secretariat
- ▶ Capacity building / reporting template
- ▶ Assessment criteria / IOTC Standard

UPDATED FROM WGAV02

MONITORING
COMPLIANCE

A - System or procedures to monitor & ensure compliance of persons & flag VESSELS

System/Procedure to report below apply to VESSELS and PERSONS:

- a) What are system/procedures to monitor compliance of your flag vessels and persons?
- b) How do you respond to instance of non-compliance of your flag vessels and persons ?
- c) What actions you can take (by law) in relation to potential infringements by your flag vessels and persons?

System
Procedures

B - Reporting data and information



C - Laws, regulations and administrative instructions in force



94. The CoC21 **AGREED** that, the IOTC Secretariat shall not require CPC to provide the internal systems and procedures in accordance with paragraph 5.c)ii)2. of Appendix V of the IOTC Rules of Procedure, and national laws & regulations, in accordance with paragraph 5.c)ii)1. of Appendix V of the IOTC Rules of Procedure, to implement reporting obligations that are addressed to CPCs.

IMPROVEMENT – CALL INFORMATION/DATA FROM PREVIOUS CAMPAIGN

- ▶ Functionalities to call/transpose information/data from past CoC campaign in the campaign


UPDATE FROM WGAV02

FOR CPC COMPLIANT at COC21 - implemented manually in CoC22 campaign for:










Section A - System/procedure (SP) & Section C - Legislation (LEG)

CALL functionality in place for the campaign CoC23.

Campaigns

Add Campaign + 

5 search campaigns... 

NAME ↑	STATUS	LINEAGE
<input type="checkbox"/> CoC20 – 2023 Assessment	 Archived	 None
<input type="checkbox"/> CoC21 – 2024 Assessment 	 Archived	 CoC20 – 2023 Assessment
<input type="checkbox"/> CoC22 – 2025 Assessment 	 Running	 CoC21 – 2024 Assessment 

SUBMITTING SP IN E-MARIS

► REQs Tagged SPR

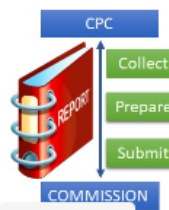
Did you submit the data/report/information of this reporting obligation in 2024 ? (CQ):

- 1 . NIL Report / Not Applicable - No vessels over 24 metres length overall and under 24 metres fishing outside the EEZs registered on the IOTC Record of authorised vessels
- 2 . NIL Report / Not Applicable - No vessels less than 24 metres operating within the EEZ
- NO - Not submitted
- YES - Submitted

Check at least one of the options

If the options [1 AND 2] "NIL Report / Not Applicable" are selected, the below sections are not required to be completed.

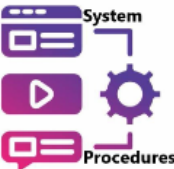
If the option "YES" or "NO" is selected, the section B is required to be completed.



A - System / procedures for CPC to REPORT

In line with CoC21 Report (para. 94) the completion of Part A and C is NOT REQUIRED, and the completion of Part B is MANDATORY.

94. The CoC21 AGREED that, the IOTC Secretariat shall not require CPC to provide the internal systems and procedures in accordance with paragraph 5.c)ii)2. of Appendix V of the IOTC Rules of Procedure, and national laws & regulations, in accordance with paragraph 5.c)ii)1. of Appendix V of the IOTC Rules of Procedure, to implement reporting obligations that are addressed to CPCs.



- Only one question as above where choices are N/A or Implemented/Submitted Yes or No
- **If the options "NIL Report / Not Applicable" is selected, the below sections are not required to be completed**
- **If the option "YES" or "NO" is selected, the section B is required to be completed.**
- **Completion of part B required.**

SUBMITTING SP IN E-MARIS

► REQs Tagged SPV

Did you implement the obligation in 2024 ? (CQ):

NIL Report / Not Applicable - CPC has NO fishing vessel registered on the IOTC Record of Authorised Vessels in 2024 NO - Not implemented YES - Implemented

Check one of the options

If the option "NIL Report / Not Applicable" is selected, the below sections are not required to be completed.

If the option "YES" or "NO" is selected, the below sections are required to be completed.

MONITORING

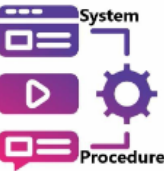


COMPLIANCE

A - System or procedures to monitor & ensure compliance of persons & flag VESSELS

System/Procedure to report below apply to VESSELS and PERSONS:

- What are system/procedures to monitor compliance of your flag vessels and persons?
- How do you respond to instance of non-compliance of your flag vessels and persons ?
- What actions you can take (by law) in relation to potential infringements by your flag vessels and persons?



1. A system or procedures exist to monitor and to ensure compliance with the obligation for valid certificates of vessel registration and valid authorisation to fish and/or tranship to be on board national vessels (CQ) :

- NO - CPC has NO system / procedure to monitor compliance with this binding measure, AND NO action in relation to potential infringements.
- YES - CPC has systems / procedures to monitor compliance with this binding measure, AND to take action in relation to potential infringements

- Only one question as above where choices are N/A or implemented Yes or No
- **If the option "NIL Report / Not Applicable" is selected, the below sections are not required to be completed**
- **If the option "YES" or "NO" is selected, the below sections are required to be completed.**
- **Completion of part A, B, C required.**

SUBMITTING SP IN E-MARIS

▶ REQs Tagged SPV

1. A system or procedures exist to monitor and to ensure compliance with the obligation for valid certificates of vessel registration and valid authorisation to fish and/or tranship to be on board national vessels (CQ) :

- NO - CPC has NO system / procedure to monitor compliance with this binding measure, AND NO action in relation to potential infringements.
- YES - CPC has systems / procedures to monitor compliance with this binding measure, AND to take action in relation to potential infringements

Check one of the options

If YES complete the mandatory fields below

Question 1.a) => What are system/procedures to monitor compliance of your flag vessels and persons?

a. System or procedures to monitor compliance with IOTC binding measure are :

Select option(s) from the drop-down menu below OR describe the system and/or procedures in place and implemented, in the free texts box.

en

Normal B I U

Describe the system or the procedures to monitor / ensure compliance. What are system/procedures to monitor compliance of your flag vessels

fr

Normal B I U

Décrire Système ou des procédures permettant de suivre l'application. Quels sont les systèmes/procédures permettant de contrôler la conformité

Dropdown menu

OR

Describe

Select option(s) from the drop-down menu below OR describe the system and/or procedures in place and implemented, in the free texts box.

- IOTC binding measures, for vessels/persons, monitored and controlled by government fisheries administration with institutional procedures implemented
- IOTC binding measures, for vessels/persons, monitored and controlled by another government administration (eg. Customs, Maritime authority, Police) with
- Procedures defined under the fisheries MCS scheme implemented by Government Agencies
- Flag State regular inspections are conducted to verify compliance of vessels with the IOTC obligations
- National monitoring, control and surveillance (MCS) & enforcement system in place with adequate assets, human resources & budget for implementation
- Control & enforcement regime over vessels with monitoring tools, VMS, logbooks/documentation & compliance observers
- Control & enforcement regime over vessels include flag State inspection regimes at sea & in port
- MCS strategy, policy, plan implemented by enforcement Government agencies

SUBMITTING SP IN E-MARIS

▶ REQs Tagged SPV

- Question 1.b) => How do you respond to instance of non-compliance of your flag vessels and persons ?

b. System or procedures to respond to instances of non-compliance are :

Select option(s) from the drop-down menu below OR describe the system and/or procedures in place and implemented, in the free texts box.

en	Normal	•	B	I	<u>U</u>	☰	☰	🔗	🔗	☰
Describe the system or the procedures to respond to instances of non-compliance How do you respond to instance of non-compliance of your flag vessels ?										
fr	Normal	•	B	I	<u>U</u>	☰	☰	🔗	🔗	☰
Décrire Système ou des procédures permettant de répondre à des cas de non-application Comment réagissez-vous en cas de non-conformité de vos navires du pavillon ?										



**Dropdown
menu**

OR

Describe



Established in national law implemented by Government

Established by national regulation implemented by Government

Established in administrative orders implemented by Government

Implementation of corrective/preventative actions to prevent recurrence of non-compliance & infringements

Implementation of responses to non-compliance & infringements to ensure prompt control and remediation

Analysis of infringements findings to identify opportunities to improve compliance controls & monitoring procedures

Unusual situations, unexpected risks/hazards & potential/actual compliance incidents are identified by the national MCS scheme

Analysis of infringements & causes of non-compliance are investigated in accordance with organisational/operational procedures







SUBMITTING SP IN E-MARIS

▶ REQs Tagged SPV

- Question 1.c) => What actions you can take (by law) in relation to potential infringements by your flag vessels and persons?

c. Actions in relation to potential infringements are :

Select option(s) from the drop-down menu below OR describe the action(s) in place and implemented, in the free texts box.

<input type="text"/>	
en	Normal B <i>I</i> <u>U</u>      
Describe actions in relation to potential infringements What actions you can take (by law) in relation to potential infringements?	
fr	Normal B <i>I</i> <u>U</u>      
Décrire les mesures en ce qui concerne des infractions potentielles Quelles mesures pouvez-vous prendre (par la loi) en cas d'infractions potentielles ?	

Dropdown
menu

OR

Describe

- Suspend/cancel/revoke a licence/ATF
- Prohibition of fishing for a determined period
- Forfeiture of property such as vessel, gear, and fish
- Fine
- Imprisonment
- Other sanctions (specify below)

IMPLEMENTATION REPORT PROCESS

▶ CoC22 -> Q4 2024 - IR made available by SEC in e-MARIS

▶ CoC22 -> 12.02.2025 - Deadline for CPCs to submit completed IR in e-MARIS

SEC translate/manage/publish/share all IRs in e-MARIS

SEC publish all IRs on CoC meeting page

COMPLIANCE QUESTIONNAIRE PROCESS

- ▶ CoC21 -> CQ completed by CPCs but not made available to other CPCs IN e-MARIS/meeting page.
- ▶ For CoC22 / new ROP → guidance from WGAV ?
 - ▶ CoC22 -> 14.12.2024 - CQ made available 4 months before COM meeting
 - ▶ CoC22 -> 23.01.2025 - Deadline for CPCs to submit completed CQ in e-MARIS

?? SEC translate/manage/publish/share all CQs in e-MARIS ??

?? SEC publish all CQs on CoC meeting page ??

COMPLIANCE REPORT PROCESS (d-CR)

- ▶ dCR in e-MARIS 50 days before COM meeting (**22 February 2025**)

dCR open
to CPC for inputs of remarks)

dCR closed

5 days for SEC days to finalise the dCR
based on Remarks by CPCs

SEC
translate/manage
/publish/share
dCR



20 days for CPCs to provide Remarks

22.02.2025

dCR available
50 days
before COM

13.03.2025

CPC Deadline
reply/submit
dCR

19.03.2025

SEC finalise
dCR



SEC translate/manage/publish/share all dCRs in e-MARIS

?? SEC publish all dCRs on CoC meeting page ??

COMPLIANCE REPORT PROCESS (d-CR to s-CR)

- ▶ sCR in e-MARIS 25 days before COM meeting (**19 March 2025**)

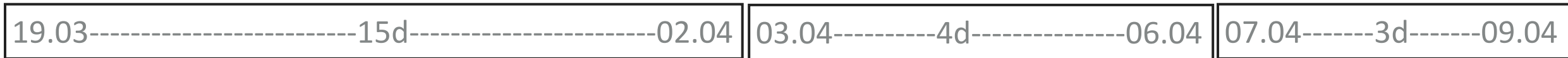
sCR open

to CPC for inputs of Follow-up/remedial actions

sCR closed

4 days for SEC days to finalise sCR based on Follow-up/remedial provided by CPCs & make it available to ALL CPCs in e-MARIS

SEC translate/manage /publish/share sCR for CoC22 review.



15 days for CPCs to provide/input in column Follow-up/remedial actions column in sCR

CoC22 start End

19.03.2025

sCR available
25 days
before COM

02.04.2025

Deadline
reply/submit
sCR

Latest

06.04.2025

SEC finalise
sCR



SEC translate/manage/publish/share all sCRs in e-MARIS
SEC publish all sCRs on CoC meeting page

COMPLIANCE REPORT PROCESS (s-CR to p-CR)

▶ pCR in e-MARIS for COM meeting (Latest **12 April 2025**)

sCR re-open

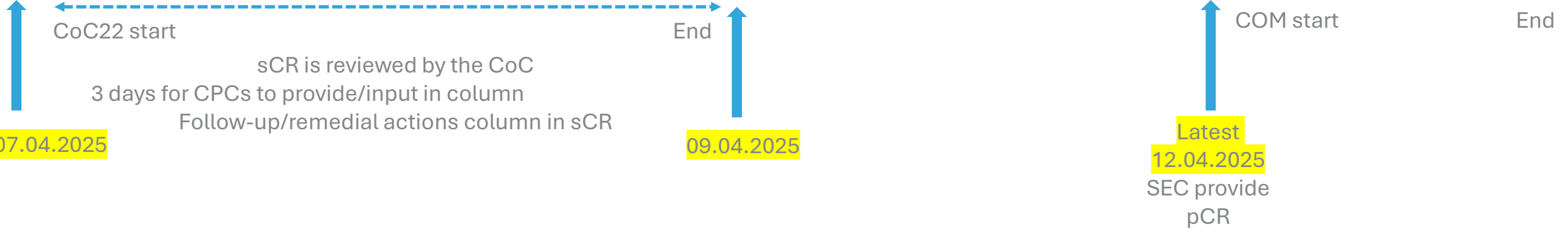
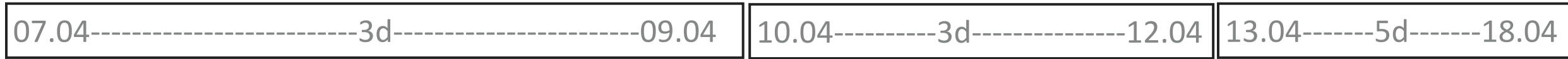
to CPC for inputs of Follow-up/remedial actions

SEC input Recommendations from CoC

sCR closed

3 days for SEC days to prepare the pCR for COM meeting

SEC translate/manage /publish/share pCR for COM review.



SEC translate/manage/publish/share all pCRs in e-MARIS

?? SEC publish all pCRs on CoC meeting page ??

COMPLIANCE REPORT PROCESS (p-CR to f-CR)

- ▶ fCR in e-MARIS after COM meeting (from 18 April 2025)

pCR closed

SEC input Recommendations from COM

CPCs cannot input on Follow-up/remedial in the column "CPC Remediation/Follow-up actions recommendation CoC/COM".



Once COM agenda point related to the pCR is closed.



SEC translate/manage/publish/share fCR



COM start

End

pCR is reviewed by the COM

SEC input in column Follow-up/remedial actions column

13.04.2025
pCR available
for COM review

Latest
18.04.2025
SEC provide
pCR



SEC translate/manage/publish/share all fCRs in e-MARIS

?? SEC publish all fCRs on CoC meeting page ??

GUIDANCE FROM WGAV - COMPLIANCE PROCESS COC22 CQ

3 deadline issues for the Compliance Questionnaire (deadline submission = 23.01.2025)

- ▶ For REQ 3.1, 3.8, 3.12 deadline set by Resolutions at 15.02.2025 / post submission of CQ
 - ▶ Section on REQs 3.1, 3.8, 3.12 may not be completed at time of submission of CQ
- ▶ Proposed options for WGAV consideration for CoC22:
 - ▶ **1 – Remove REQs from CQ ???** (REQs still assessed in CR)
 - ▶ **2 – Maintain REQs in CQ – Section on REQs 3.1, 3.8, 3.12 may not be completed ???**
- ▶ Provision in RoP when deadline of REQ is post CQ submission deadline ???
 - ▶ **1 – Remove REQs from CQ ???** (REQs still assessed in CR)
 - ▶ **2 – Maintain REQs in CQ – Section on REQ in CQ may not be completed ???**
 - ▶ **3 – SEC to aligned/change deadline of REQ to CQ deadline in e-MARIS ???**

GUIDANCE FROM WGAV -COMPLIANCE PROCESS COC22 IR

1 deadline issue for the Implementation Report(deadline submission = 12.02.2025)

- ▶ For REQ 3.2 deadline set by Resolution 19/07 at 28.02.2025 / post submission of IR
 - ▶ Section on REQ 3.2 may not be completed at time of IR submission
- ▶ Possible options for WGAV consideration for CoC22:
 - ▶ **1 – Remove REQ from IR ???** (REQ still assessed in CR)
 - ▶ **2 – Maintain REQ in IR – Section on REQ 3.2 may not be completed ???**
- ▶ Future provision in RoP when deadline of REQ is post IR submission deadline ???
 - ▶ **1 – Remove REQ from IR ???** (REQ still assessed in CR)
 - ▶ **2 – Maintain REQs in IR – Section on REQ in IR may not be completed ???**
 - ▶ **3 – SEC to aligned/change deadline of REQ to IR deadline in e-MARIS ???**