The compliance process and the e-MARIS application
2027 Compliance reports and workflow at CoC24 - From the Draft-CR to the Final-CR
(e-MARIS CoC24 – 2027 assessment campaign)

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PURPOSE

To provide participants at the Working Party on the Implementation of Conservation and Management Measures (WPICMM) with the opportunity to review:

- The content and structure of the 2027 Compliance report; and,
- The Compliance Report (CR) workflow during the Compliance Committee meeting (CoC24) - from the Draft CR (dCR) to the Final CR (fCR) (e-MARIS CoC24 – 2027 assessment campaign), the timelines and the decision-making process of the Compliance Committee (CoC) during its meeting (CoC24) and of the Commission (S31).

BACKGROUND

THE 2027 COMPLIANCE REPORTS, CONTENT AND STRUCTURE

The e-MARIS has been in production for four campaigns of the CoC, CPCs have used e-MARIS to report on the various IOTC obligations, including the submission of the mandatory Implementation Report (IR) and the Compliance Questionnaire (CQ) and facilitating the Compliance Reports processes.

The 2027 Compliance Report is available in the e-MARIS application, campaign ''CoC24 - 2027 assessment'', dashboard under the tab ''Reports'', as presented below[2]:

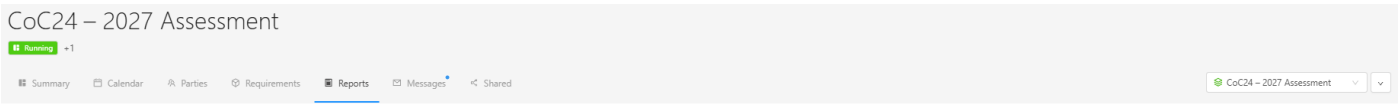

Figure 1 : Campaign ''CoC24 - 2027 assessment'', dashboard - the tab ''Reports''.

THE COMPLIANCE REPORTS WORKFLOW DURING THE COMPLIANCE COMMITTEE MEETING (COC24)- FROM THE DRAFT CR TO THE FINAL CR

At the 29th Session of the Indian Ocean Tuna Commission in April 2025, a revised Appendix V of the IOTC Rules of Procedure (IOTC Rules of Procedure (2025) on the Compliance Committee terms of reference and Rules of Procedure) was adopted:

> IOTC–2025–S29–R - Paragraph 14. *The Commission **ADOPTED** the amendments to the Rules of Procedure.*

The Rules of Procedure is available from the IOTC web site HERE

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[2] Note that the Implementation Report (IR) and the Compliance Questionnaire (CQ) are also present in the "Reports" tab.

DISCUSSION

A) THE 2027 COMPLIANCE REPORTS, CONTENT AND STRUCTURE

The Compliance Reports for the CoC24 are populated with information reported in the e-MARIS campaign ''CoC24 - 2027 assessment''. The Compliance assessment cycle will conclude with the Commission (S31) meeting. Compliance assessment conducted in 2027 for the data and information submitted to the Secretariat for the years reporting on 2025 and 2026.

The description of the campaign is provided in the below table:

Name of the e-MARIS campaign	CoC24 - 2027 assessment		
Start:	01/01/2026		
End:	Commission meeting in 2027		
Reporting year/Assessment year:	2025/2026		
Total number of requirements (Including IR and CQ):	105		
Number of assessed requirements:	92		
Number of not assessed requirements:	13		
Number of requirements with reporting on System and procedures (SP):	42		
Number of requirements where SP is not applicable:	51		
Reporting effort by deadline type:	IR	CQ	Fixed date
(All 90 assessed requirements)	33	56	27

Table 1 : Description of the campaign "CoC24 - 2027 assessment".

The e-MARIS campaign “CoC24 - 2027 assessment” is structured in 12 categories. They are represented in the Compliance Reports by categories/ sections:

	Requirement	
Category/Section	**Assessed**	**Not Assessed**
1 - Implementation obligations	4*	None
2 - Management standards	26	1
3 - Reporting on vessels	11	None
4 - Vessel monitoring system	1	None
5 - Mandatory statistical requirement – flag State CPCs	11	2
6 - Implementation of mitigation measure and bycatch of non-IOTC species	16	None
7 - Illegal, unreported and unregulated (IUU) vessels	2	7
8 – Transhipments	7	1
9 – Observers	4	1
10 - Statistical document programme	4	None
11 - Port inspection	6	None
12 – Market measures	None	1
Total	**92**	**13**

*: Including IR and CQ under the tab “Reports” of e-MARIS.

Table 2 : Categories/Sections of the campaign “CoC23 - 2026 assessment”.

The Compliance Report is structured in four pages:

- **Page 1** - The main compliance table, where the compliance assessment is conducted by the Secretariat. The d-CR contains the following columns:

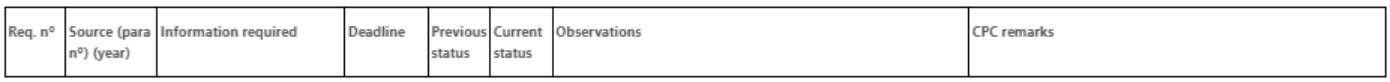


Figure 2 : Header of the main compliance table of the Compliance Report d-CR.

From the summary CR (sCR), provisional CR (pCR) and the final CR (fCR), there will be one additional column ‘’*CPC Remediation / Follow-up actions, Recommendations CoC/COM*’’ to be completed by CPCs on their Follow-up/remedial actions, as presented below:

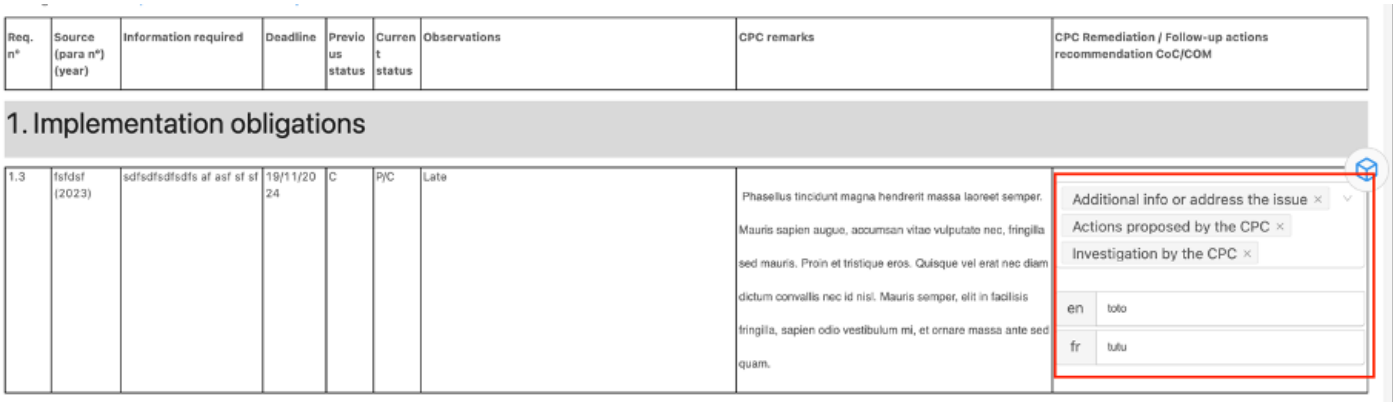
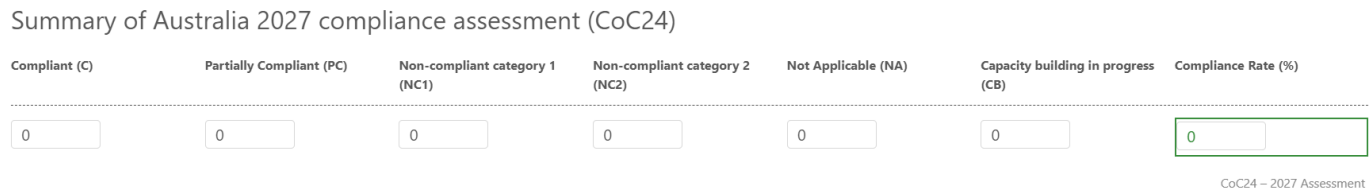


Figure 3 : Header of the main compliance table of the Compliance Report sCR, pCR, fCR.

- **Page 2** – Summary of CPC 2026 compliance assessment (CoC24).



Summary of Australia 2027 compliance assessment (CoC24)

Compliant (C)	Partially Compliant (PC)	Non-compliant category 1 (NC1)	Non-compliant category 2 (NC2)	Not Applicable (NA)	Capacity building in progress (CB)	Compliance Rate (%)
0	0	0	0	0	0	0

CoC24 – 2027 Assessment

Figure 4 : -Summary of CPC 2027 compliance assessment (CoC24).

- **Page 3** – Current issues on the level of implementation by CPC of IOTC Conservation and Management Measures identified for discussion in the CoC24, in 2027.



Current issues on the level of implementation by Australia of IOTC Conservation and Management Measures identified for discussion in the CoC24 in 2027

Having reviewed the 2027 Draft Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant repeated non-compliance issues for discussion.

Repeated non-compliance issues

Requirement	Information required	Observations	Previous status (2026)	Current status (2027)

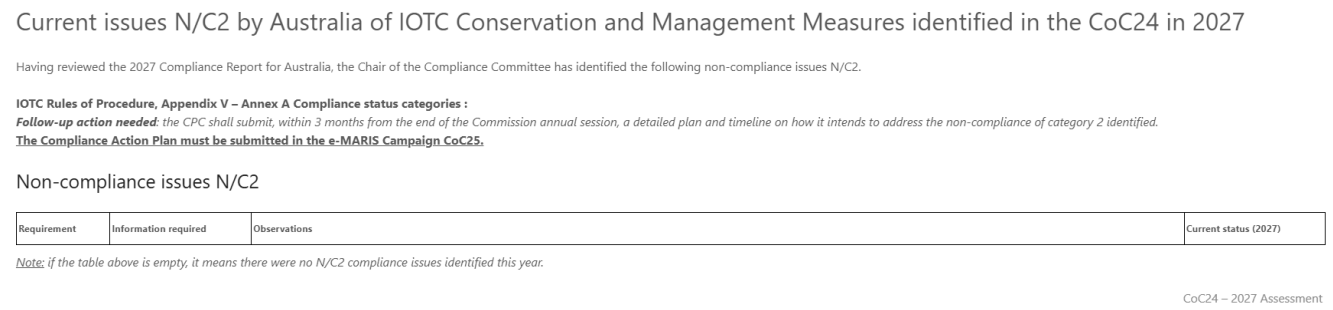
Note: if the table above is empty, it means there were no repeated compliance issues identified this year.

CoC24 – 2027 Assessment

Figure 5 : Table on Current issues on the level of implementation by CPC of IOTC CMMs.

This section provides a table on the *Repeated non compliance issues*. It is automatically completed from information on the compliance assessment from the main compliance table.

- **Page 4** – Current issues N/C2 on the level of implementation by CPC of IOTC Conservation and Management Measures identified for discussion in the CoC24, in 2027.



Current issues N/C2 by Australia of IOTC Conservation and Management Measures identified in the CoC24 in 2027

Having reviewed the 2027 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following non-compliance issues N/C2.

IOTC Rules of Procedure, Appendix V – Annex A Compliance status categories :
***Follow-up action needed**: the CPC shall submit, within 3 months from the end of the Commission annual session, a detailed plan and timeline on how it intends to address the non-compliance of category 2 identified.*
The Compliance Action Plan must be submitted in the e-MARIS Campaign CoC25.

Non-compliance issues N/C2

Requirement	Information required	Observations	Current status (2027)

Note: if the table above is empty, it means there were no N/C2 compliance issues identified this year.

CoC24 – 2027 Assessment

Figure 6 : Table on Current issues N/C2on the level of implementation by CPC of IOTC CMMs.

This section provides a table on the N*on compliance issues N/C2*. It is automatically completed from information on the compliance assessment from the main compliance table.

- **Page 5** – Reading instructions.

Reading instructions

(1) Information is to be provided in the Report of Implementation
(2) 24 meters overall length and over, and under 24 meters if they fished outside their EEZ
(Year) = year reporting on/year assessed.
LEG: Legislation - Transposition of Commission decisions, Legislation or administrative orders.
STD : Standard - Reporting format, IOTC standard
SP: System or procedures - Provision of information on system or procedures a) to monitor and ensure compliance of vessels and persons OR b) to prepare and submit information, data, report to the Commission.
i) ii) iii) = a) b) c)

Assessment

Compliance

- **C**: Compliant
- **C/B**: Capacity building
- **N/A**: Not applicable
- **N/C1**: Not compliant 1
- **N/C2**: Not compliant 2
- **P/C**: Partially compliant

CoC/Commission recommendations

- **Additional info or address the issue**: Provide additional information or address the compliance issue within a given period of time, and at the latest before the following annual meeting.
- **Actions proposed by the CPC**: Actions proposed by the CPC and endorsed by the Commission.
- **Investigation by the CPC**: Completion of an investigation by the CPC regarding a compliance issue and reporting back to the Compliance Committee, where required.
- **Enhanced monitoring of fleet**: Enhanced monitoring of the fleet.
- **Amendments to national rules**: Amendments to domestic procedures, legislation or policy including penalties, where required.
- **Detailed plan and timeline**: Submit, within 3 months from the end of the Commission annual session, a detailed plan and timeline on how it intends to address the non-compliance of category 2 identified
- **Capacity building or assistance**: Provision of capacity building or technical assistance for a specified amount of time.
- **Other remedies**: Other remedies.

"Missing" values:

- "**-/-**": no possible value (e.g.: no previous assessment possible because this is the first campaign in which the requirement is assessed);
- "**None**": no value provided by the Secretariat (e.g. requirement assessed as Compliant, no text provided in "Observations");
- "**Not assessed**": for timeliness and compliance when no assessment has been made yet or the requirement is not assessable;
- "**–**": no information provided by the CPC for this question (e.g. nothing entered in a text entry field, no boxes checked...);
- "**Not Submitted**": the value will only be available after submission of the report (e.g. the "Report date").

Figure 7 : CR Reading instructions.

B) THE COMPLIANCE REPORTS WORKFLOW DURING THE COMPLIANCE COMMITTEE MEETING (COC24)- FROM THE DRAFT CR TO THE FINAL CR

The established time frame/calendar of the Compliance Reports Processes, is presented in Table 1 of Appendix 1, was drafted based on the agreement made at by the Ad-Hoc Working Group on Appendix V of the IOTC Rules of Procedure (WGAV). The objective of the calendar is to assist CPCs to understand the effects of applying the RoP deadlines and to inform CPCs on the key dates for the Compliance Reports.

- The draft CR is available and open to CPCs in e-MARIS for input of remarks,
  - 20 Days - *FROM 21 March 2027 to 09 April 2027*,
- The summary CR is available and open to CPC in e-MARIS for input of Follow-up/remedial actions,
  - 15 days - *FROM 15 April 2027 to 29 April 2027,*

What are the deadlines for CPCs to provide input in the draft CR and the summary CR and then submit the CR in the e-MARIS application.

- Deadline to submit draft CR is *09 April 2027,*
- Deadline to submit summary CR is *29 April 2027*,

When will the CR be closed to CPCs, to allow the Secretariat to prepare the different CRs for the Compliance Committee and the Commission meeting,

- Draft CR is closed during 5 days - *FROM 10 April 2027 to 14 April 2027,*
- Summary CR is closed 3 days - *FROM 30 April 2027 to 02 May 2027,*
- Provisional CR is closed - *FROM 06 May 2027 until the end of the Commission meeting.*

RECOMMENDATIONS

That the WPICMM10:

1) **NOTE** the meeting document IOTC-2027-WPICMM10-11, which describes: i) the 2027 Compliance Report, content and structure, and ii) the Compliance Report workflow during the CoC24, the decision making process during the Compliance Committee (CoC24) and the Commission (S31) meetings.

On the 2026 Compliance Reports, content and structure:

2) **NOTE** the CoC24 campaign description and the 5 pages structure of the Compliance Report.

On Compliance Reports workflow during the Compliance Committee meeting (CoC24)- From the Draft-CR to the Final-CR:

3) **NOTE** the timelines and the decision-making process of the Compliance Committee during the Compliance Committee meeting (CoC24).

4) **NOTE** the established time frame/calendar of the Compliance Reports Processes, presented in Table 1 of Appendix 1, and **FURTHER NOTE**:
   a. *FROM 21 March 2027 to 09 April 2027,* the draft-CR is available and open to CPCs in e-MARIS for input of remarks.
   b. *FROM 15 April 2027 to 29 April 2027,* the Summary CR is available and open to CPC in e-MARIS for input of Follow-up/remedial actions.
   c. The deadline to submit the draft CR is *09 April 2027.*
   d. The deadline to submit the Summary CR is *29 April 2027*.
   e. *FROM 10 April 2027 to 14 April 2027,* the draft CR is closed.
   f. *FROM 30 April 2027 to 02 May 2027,* the Summary CR is closed.
   g. *FROM 06 May 2027 until the end of the Commission (S31)*, the Provisional CR is closed.

5) **NOTE** that the IOTC Secretariat shall compile the Compliance Reports – dCR, sCR, pCR and fCR, and **FURTHER NOTE** that the compliance assessment will be caried out in the e-MARIS application.

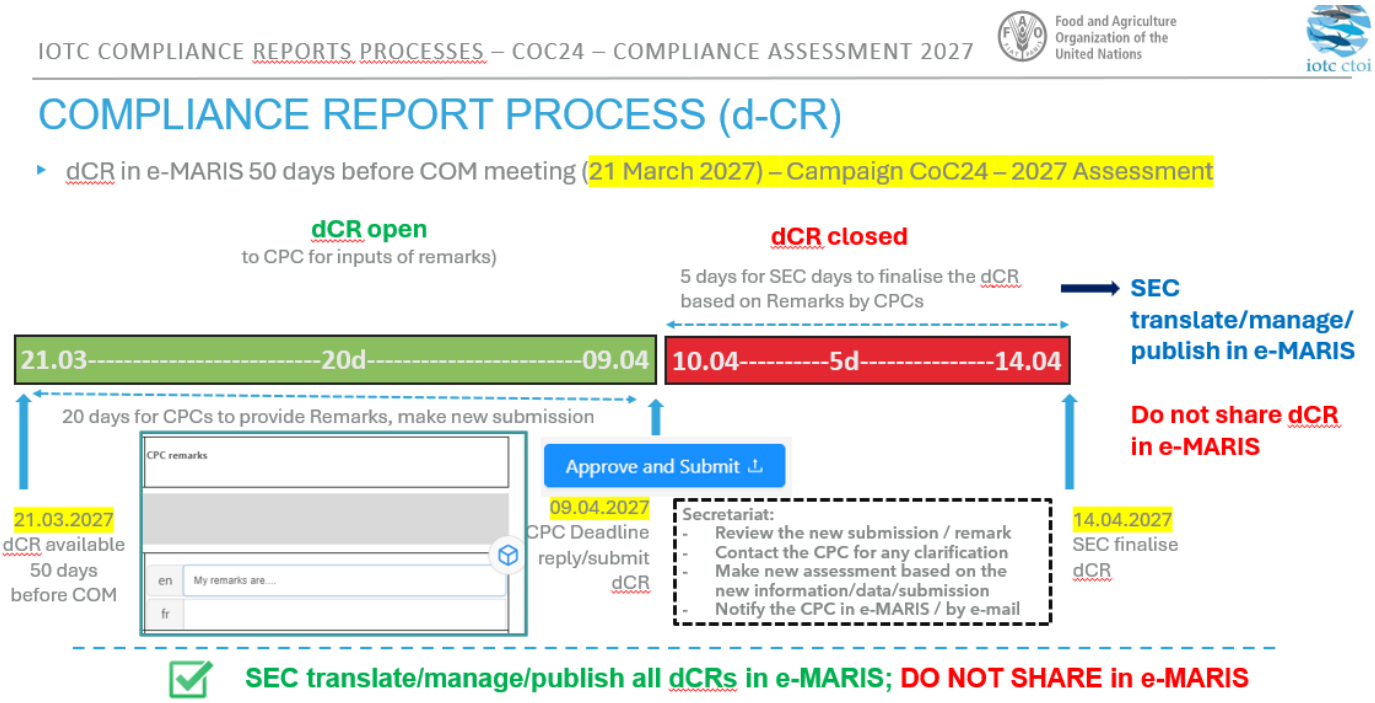
Appendix 1 - Table 1: Established time frame/calendar of the Compliance Committee (CoC23), May 2026.

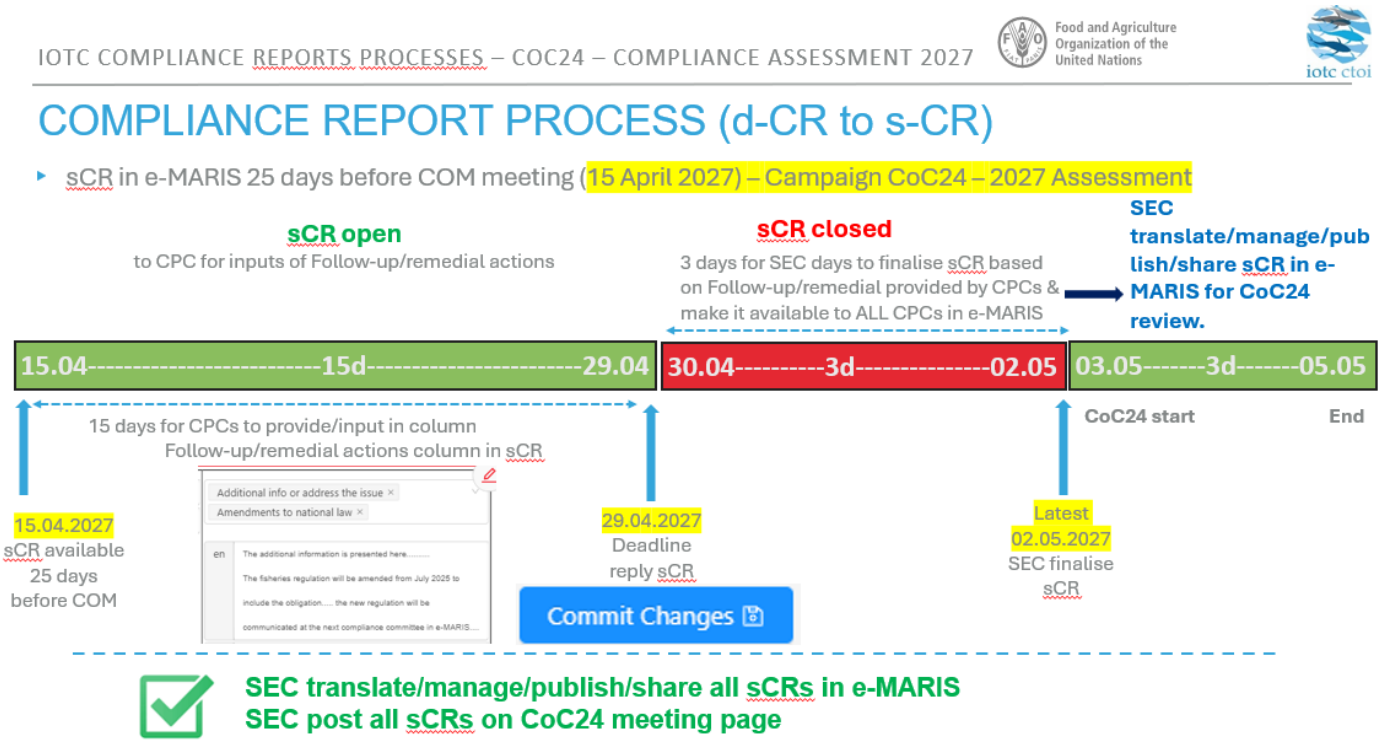
Time frame of actions				Deadlines	CR STATUS	Secretariat (SEC), CPC action(s)
dCR open	dCR available in e-MARIS	**21 March 2027**			dCR open for input of remarks by CPC	
		SEC make dCR available to CPCs in e-MARIS 50 days before S31 meeting		SEC Deadline for providing dCR in e-MARIS (21/03/2027)		dCR available in e-MARIS
		CPCs have 20 days to provide Remarks (dCR open to CPC for inputs of remarks)		20 Days - FROM 21 March 2027 to 09 April 2027		dCR available/open in e-MARIS for CPC input
		09 April 2027				
				CPC deadline for reply/submit dCR by CPCs (09/04/2027)		
dCR closed		**10 April 2027**			dCR closed	SEC translate/manage/publish in e-MARIS Do not share dCR in e-MARIS Do not post dCRs on CoC meeting page
		SEC has 5 days to finalise the dCR based on Remarks provided by CPCs		5 Days FROM 11 April 2026 to 15 April 2026		
sCR open	sCR available in e-MARIS	**15 April 2027**			sCR open for input by CPC	
		SEC make sCR available to CPCs in e-MARIS 25 days before COM meeting		SEC Deadline for providing sCR in e-MARIS		sCR available in e-MARIS
		CPCs have 15 days to provide/input in column Follow-up/remedial actions in sCR (sCR open to CPC for)		15 days - *FROM 15 April 2027 to 29 April 2027*		sCR available/open in e-MARIS for CPC input of Follow-up/remedial actions
		29 April 2027				

					CPC Deadline to submit the sCR		
sCR closed		**30 April 2027**				sCR closed for input by CPC	**SEC translate/manage/publish/share sCR for readiness/review for CoC23** **SEC Post dCRs on CoC meeting page**
			SEC make the final sCR based on ("CPC Remediation/Follow-up actions recommendation CoC/COM") provided by CPCs and make it available to OTHER CPCs in e-MARIS		3 days - *FROM 30 April 2027 to 02 May 2027*		
		02 May 2027			Latest 02 May 2027 (final sCR available in eMARIS)		
sCR re-open	CoC meeting	**03 May 2027**			**CoC start**	sCR reopen for input by CPC	
		05	3 days for CPCs to provide/input in column Follow-up/remedial actions column in sCR		sCR is reviewed by the CoC		
		06			**CoC end**		During the CoC, CPCs can still input on in the column "CPC Remediation/Follow-up actions recommendation CoC/COM".
pCR closed / pCR closed	pCR available in e-MARIS	**06 May 2027**				pCR closed	**SEC translate/manage/publish/share pCR for readiness/review for S30** **SEC Post pCRs on S31 meeting page**
			SEC to prepare the pCR for the COM meeting		4 Days - From 06 May 2027 (pCR available in eMARIS)		
		9 May 2027			SEC Deadline for providing pCR in e-MARIS		
	Commission meeting (COM)	**10 May 2027**			**COM start**		
			pCR is reviewed by the COM				During the S31, CPCs cannot input in the column "CPC Remediation/Follow-up actions recommendation CoC/COM".

			SEC finalise fCR		Once agenda point related to the pCR is closed.		**SEC translate/manage/publish/share fCR and Post fCRs on COM meeting page**
		14 May 2027			**COM end**		
							During the S31, CPCs cannot input in the column “CPC Remediation/Follow-up actions recommendation CoC/COM”.

Appendix 2 – Diagram : Established time frame/calendar of the Compliance Committee (CoC24), May 2027.





IOTC COMPLIANCE REPORTS PROCESSES – COC24 – COMPLIANCE ASSESSMENT 2027

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COMPLIANCE REPORT PROCESS (s-CR to p-CR)

- pCR in e-MARIS for COM meeting (Latest 09 May 2027) – Campaign CoC24 – 2027 Assessment

sCR re-open
to CPC for inputs of Follow-up/remedial actions
SEC input Recommendations from COC

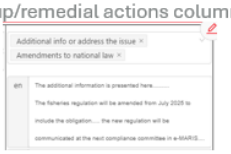
sCR closed
4 days for SEC days to prepare the pCR for COM S31 meeting

SEC translate/manage/publish/share pCR in e-MARIS for S31 review.

03.05----------3d CoC24----------05.05 | 06.05---------4d---------09.05 | 10.05-------5d-------14.05

CoC23 start — End | COM S31 start — End

sCR is reviewed by the CoC
3 days for CPCs to provide/input in column Follow-up/remedial actions column in sCR



03.05.2027

05.05.2027

Commit Changes

Latest 09.05.2027 SEC provide pCR

- [x] **SEC translate/manage/publish/share all pCRs in e-MARIS**

SEC post all pCRs on COM S31 meeting page

IOTC COMPLIANCE REPORTS PROCESSES – COC24 – COMPLIANCE ASSESSMENT 2027

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COMPLIANCE REPORT PROCESS (p-CR to f-CR)

- fCR in e-MARIS after COM meeting (from 14 May 2027) – Campaign CoC24 – 2027 Assessment

pCR closed
SEC input Recommendations from COM
CPCs cannot input on Follow-up/remedial in the column ''CPC Remediation/Follow-up actions recommendation CoC/COM''.

Once S30 agenda point related to the pCR is closed.

SEC translate/manage/publish /share fCR in e-MARIS

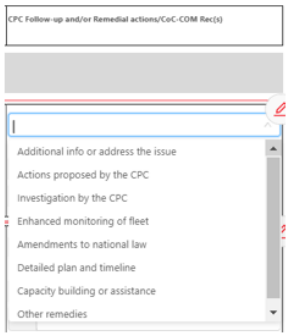
10.05----------5d S31----------14.05

COM S30 start — End

pCR is reviewed by the COM S31
SEC input in column Follow-up/remedial actions column

10.05.2027 pCR available for COM review

Latest 14.05.2027 SEC provide fCR



- [x] **SEC translate/manage/publish/share all fCRs in e-MARIS**

SEC publish all fCRs on COM S31 meeting page