



Guide to IOTC data and information reporting requirements for Members and Cooperating Non-contracting Parties

Acknowledgements

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Summary

The objective of the guide is to provide a working document for Members and Cooperating Non-Contracting Parties (collectively referred to as "CPCs") to use in the implementation of the IOTC Resolutions while reporting data and information to the Commission. The content is divided into three sections.

The first section provides a detailed overview of what data and information to report on a monthly basis, in accordance with the specified deadline.

The second section presents a detailed overview of what data and information must be reported at the same deadline of the Implementation Report.

The last section guides CPCs on data and information which must be reported on an event basis (no fixed date for the deadline is specified).

Contents

Acknowledgements	2
Summary	3
Acronyms	5
Introduction to the guide	6
Data and information to be sent to the Secretariat in accordance with the specified deadline	6
Data and information to be sent to the Secretariat when providing the Report of Implementation	14
Data and information to be sent to the Secretariat on an event basis (no fixed date for the deadline i specified)	
Submission register	21
Notebook	24
IOTC Members and non-members	26
IOTC Area of Competence	27
Useful links for reporting IOTC data and information	28

Acronyms

ALB Albacore Tuna

BET Bigeye Tuna

CMM Conservation and Management Measure

CNCP Cooperating Non-Contracting Party

CPC IOTC Contracting Party (Member) and Cooperating Non-Contracting Party

EEZ Exclusive Economic Zone

FAO Food and Agriculture Organization of the United Nations

IOTC Indian Ocean Tuna Commission

IUU Illegal, Unreported and Unregulated (fishing)

LSTLV Large-scale tuna longline fishing vessel

MCS Monitoring, Control and Surveillance

NCP Non-contracting Party

RAV Record of Authorised Vessels

SKJ Skipjack Tuna

UN United Nations Organization

VMS Vessels Monitoring System

YFT Yellowfin Tuna

Introduction to the guide

Please NOTE: the exact requirements are described in the resolutions which are available from http://www.iotc.org.

All data should be e-mailed to <u>IOTC-Secretariat@fao.org</u> and clearly marked as to which resolution they pertain to.

Where it is mentioned "A report template exits" it can be downloaded at http://www.iotc.org/compliance/reporting-templates

Reports to submit by month (Year 2019):

January	February	March	<u>April</u>	May	<u>June</u>
<u>July</u>	August	<u>September</u>	October	November	<u>December</u>

Data and information to be sent to the Secretariat in accordance with the specified deadline

January 2019

Before 1st January, 2019:

Resolution 18/01 On an interim plan for rebuilding the Indian Ocean yellowfin tuna stock in the IOTC Area of Competence

INFORMATION REQUIRED: PURSE SEINERS SERVED BY SUPPLY VESSEL

Paragraph 3,e):

CPC/flag States shall report annually before the 1st of January for the coming year of operations which Purse seiners are served by each supply vessel.

February 2019

By 15 February, 2019:

Resolution 10/08 Concerning a Record of Active Vessels Fishing for Tunas and Swordfish in the IOTC Area

INFORMATION REQUIRED: LIST OF ACTIVE VESSELS

Paragraph 1:

All IOTC Contracting Parties and Cooperating non-Contracting Parties (CPCs) with vessels fishing for tunas and swordfish in the IOTC Area of Competence, shall submit to the Secretary by 15 February every year a list of their respective vessels that were active in the Area during the previous year and that are:

- larger than 24 meters in length overall, or
- in case of vessels less than 24m, those operating in waters outside the exclusive economic zone (EEZ) of the flag State.

A report template exists

Resolution 14/05 Concerning A Record Of Licensed Foreign Vessels Fishing For IOTC Species In The IOTC Area Of Competence And Access Agreement Information

INFORMATION REQUIRED: LIST OF FOREIGN VESSELS LICENSED IN EEZ

Paragraph 1:

All CPCs which issue licenses to foreign flag vessels to fish in their EEZ for species managed by the IOTC in the IOTC Area of Competence, shall:

• Submit to the IOTC Executive Secretary, by 15 February every year, a list of all foreign flag vessels to which such licences have been issued during the previous year.

A report template exists

By 28 February, 2019:

Resolution 18/10 On Vessel Chartering in the IOTC Area of Competence

INFORMATION REQUIRED: <u>PARTICULARS OF CHARTER AGREEMENTS</u>

Paragraph 8:

The chartering CP shall report to the IOTC Executive Secretary by 28 February each year, and for the previous calendar year, the particulars of charter agreements made and carried out under this Resolution, including information of catches taken and fishing effort deployed by the chartered vessels as well as the level of observer coverage achieved on the chartered vessels, in a manner consistent with IOTC data confidentiality requirements.

March 2019

By 24 March, 2019:

Rules of Procedures - APPENDIX V - The Compliance Committee - Terms of Reference and Rules of Procedure

INFORMATION REQUIRED: STANDARD QUESTIONNAIRE ON COMPLIANCE

Paragraph 4.1:

In preparation for the meeting of the IOTC Compliance Committee the IOTC Secretariat will:

i) send each CPC, 4 months prior to the annual meeting, a standard questionnaire on compliance with the various IOTC Resolutions governing conservation and management <u>for receiving comments</u> and answers from the concerned CPCs within 45 days;

By 31 March, 2019:

Resolution 18/03 On Establishing A List Of Vessels Presumed To Have Carried Out Illegal, Unregulated And Unreported Fishing In The IOTC Area

INFORMATION REQUIRED: <u>LIST OF IUU VESSELS</u>

Paragraph 5:

A CPC in possession of information that one or more vessels has engaged in IUU fishing activities within the IOTC Area within a 24 month period prior to the annual meeting of the Compliance Committee shall submit a list of such vessels to the IOTC Executive Secretary. Such submission shall be made at least 70 days before the annual meeting of the Compliance Committee using the IOTC Reporting Form for Illegal Activity.

A report template exists

April 2019

By 1 April, 2019:

Resolution 01/06 Concerning the IOTC bigeye tuna statistical document programme

INFORMATION REQUIRED: <u>SECOND SEMESTER REPORT - IMPORT OF BIGEYE TUNA</u>

Paragraph 5:

For CPCs that import bigeye tuna, shall transmit import data for the period 1 July - 31 December (2018) of the previous year.

A report template exists

By 10 April, 2019:

Article X.2 of the IOTC Agreement

INFORMATION REQUIRED: REPORT OF IMPLEMENTATION

Article X.2:

Each Member of the Commission shall transmit to the Commission an annual statement of the actions it has taken pursuant to paragraph 1. Such statement shall be sent to the Secretary of the Commission not later than 60 days before the date of the following regular session of the Commission.

May 2019

By 25 May, 2019:

Resolution 18/03 On Establishing A List Of Vessels Presumed To Have Carried Out Illegal, Unregulated And

On Establishing A List Of vessets Fresumed To Have Carried Out Megal, Ohregulaled And Unreported Fishing In The IOTC Area

INFORMATION REQUIRED: <u>COMMENTS & INFORMATION ABOUT IUU LISTED VESSELS AND</u> THEIR ACTIVITIES

Paragraph 10:

The flag State of a vessel included on the Draft IUU Vessel List may transmit to the IOTC Executive Secretary at least 15 days before the Annual Meeting of the Compliance Committee, any comments and information about listed vessels and their activities, including information pursuant to Paragraph 9.a) and 9.b) and information showing that the listed vessels either have or have not:

- a. conducted fishing activities in a manner consistent with IOTC Conservation and Management Measures in force; or
- b. conducted fishing activities in a manner consistent with the laws and regulations of a coastal State when fishing in the waters under the jurisdiction of that State, and with the law and regulations of the flag State and the Authorisation to Fish; or
- c. conducted fishing activities exclusively for species that are not covered by the IOTC Agreement or IOTC Conservation and Management Measures

June 2019

By 30 June, 2019:

Resolution 12/04 *On marine turtles*

INFORMATION REQUIRED: DATA ON INTERACTIONS WITH MARINE TURTLES

Paragraph 3:

CPCs shall collect (including through logbooks and observer programs) and provide to the IOTC Secretariat no later than 30 June of the following year in accordance with Resolution 15/02 (or any subsequent revision), all data on their vessels' interactions with marine turtles. The data shall include the level of logbook or observer coverage and an estimation of total mortality of marine turtles incidentally caught in their fisheries.

Resolution 12/06 On reducing incidental bycatch of seabirds in longline fisheries

INFORMATION REQUIRED: <u>DATA ON INCIDENTAL BYCATCH OF SEABIRDS</u>

Paragraph 1 and 2:

CPCs shall record data on seabird incidental bycatch by species and report these annually, notably through scientific observers or, where the provisions of the IOTC Regional Observer Scheme have not been fully implemented, through logbooks.

Resolution 13/04 *On the conservation of cetaceans*

INFORMATION REQUIRED: DATA ON INTERACTIONS WITH CETACEANS

Paragraph 7:

CPCs shall report the information and data collected under paragraph 3(b) and paragraph 4, through logbooks, or when an observer is onboard through observer programs, and provide to the IOTC Secretariat by 30 June of the following year and according to the timelines specified in Resolution 15/02 (or any subsequent revision).

Resolution 13/05 *On the conservation of whale sharks (Rhincodon typus)*

INFORMATION REQUIRED: <u>DATA ON INTERACTIONS WITH WHALE SHARKS</u>

Paragraph 7:

CPCs shall report the information and data collected under paragraph 3(b) and paragraph 4, through logbooks, or when an observer is onboard through observer programs, and provide to the IOTC Secretariat by 30 June of the following year and according to the timelines specified in Resolution 15/02 (or any subsequent revision).

Resolution 15/01 On The Recording Of Catch And Effort By Fishing Vessels In The IOTC Area Of Competence

INFORMATION REQUIRED: CATCH DATA

Paragraph 10:

The Flag State shall provide all the data for any given year to the IOTC Secretariat by June 30th of the following year on an aggregated basis.

For additional information, consult the Guidelines for the reporting of Fisheries Statistics to the IOTC http://www.iotc.org/data-and-statistics

Resolution 15/02 Mandatory statistical requirements for IOTC Members

INFORMATION REQUIRED: FISHERIES STATISTICS

Paragraph 1:

Contracting Parties and Cooperating Non-Contracting Parties (CPCs) shall provide the following information to the IOTC Secretariat according to the timelines specified in paragraph 7:

Final data sets for surface fleets (including SP), and coastal fisheries (artisanal, small-scale and sports fisheries)

• Total catch, catch and effort and size frequency data for the previous year.

Provisional data sets for longline fleets

• Total catch, catch and effort and size frequency data for the previous year.

For additional information, consult the Guidelines for the reporting of Fisheries Statistics to the IOTC http://www.iotc.org/data-and-statistics

Resolution 15/03 *On the vessel monitoring system (VMS) programme*

INFORMATION REQUIRED: VMS REPORT ON IMPLEMENTATION AND TECHNICAL FAILURES

Paragraph 12:

Each CPC shall provide to the IOTC Secretariat, by 30 June each year, a report on the progress of implementation of its VMS programme, including technical failures.

A report template exists

Resolution 17/05 Concerning the conservation of sharks caught in association with fisheries managed by IOTC

INFORMATION REQUIRED: <u>CATCH DATA FOR SHARKS</u>

Paragraph 6:

CPCs shall report data for catches of sharks no later than 30 June of the following year, in accordance with IOTC data reporting requirements and procedures in Resolution 15/02 mandatory statistical requirements for IOTC Members and Cooperating Non-Contracting Parties (CPC's) (or any subsequent superseding resolution), including all available historical data, estimates and life status of discards (dead or alive) and size frequencies.

June 2019

Resolution 18/05

On management measures for the conservation of the billfishes: striped marlin, black marlin, blue marlin and indo-pacific sailfish

INFORMATION REQUIRED: CATCH DATA FOR BILLFISHES SPECIES

Paragraph 8:

CPCs shall implement data collection programmes to ensure accurate reporting of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish catches, released alive and/or discarded, together with effort, size and discard data to IOTC in full accordance with the Resolution 15/02 on the Mandatory statistical reporting requirements for IOTC Contracting Parties and Cooperating Non-Contracting Parties (CPCs), or any Resolution superseding it.

Resolution 18/08

Procedures on a fish aggregating devices (FADs) management plan, including more detailed specifications of catch reporting from FAD sets, and the development of improved FAD designs to reduce the incidence of entanglement of non-target species

INFORMATION REQUIRED: <u>DATA ON FAD FISHERIES</u>

Paragraph 14:

Starting in 2016, CPCs shall submit the data elements prescribed in **Annex I** and **Annex II** to the Commission, consistent with the IOTC standards for the provision of catch and effort data, and these data shall be made available for analysis to the IOTC Scientific Committee on the aggregation level set by Resolution 15/02 (or any subsequent superseding Resolution), and under the confidentiality rules set by Resolution 12/02 (or any subsequent superseding Resolution).

July 2019

By 1 July, 2019:

Resolution 05/03 Relating to the establishment of an IOTC programme of inspection in port

INFORMATION REQUIRED: LIST OF FOREIGN FISHING VESSELS LANDING IN PORT

Paragraph 8:

Each CPC shall submit electronically to the IOTC Executive Secretary by 1 July of each year, the list of foreign fishing vessels which have landed in their ports tuna and tuna-like species caught in the IOTC Area in the preceding year. This information shall detail the catch composition by weight and species landed.

A report template exists

September 2019

By 15 September, 2019:

Resolution 18/06 On establishing a programme for transhipment by large-scale fishing vessels

INFORMATION REQUIRED: <u>LIST OF LSTLVs THAT TRANSHIPPED IN THE PREVIOUS YEAR,</u> <u>QUANTITIES BY SPECIES TRANSHIPPED AND ASSESSMENT OF OBSERVER REPORTS</u>

Paragraph 23:

The CPCs shall report annually before 15 September to the IOTC Executive Secretary:

- The quantities of species transhipped during the previous year.
- The list of LSTV's registered in the IOTC Record of which have transhipped during the previous year.
- A comprehensive report assessing the content and conclusions of the reports from the observers assigned to the carrier vessels which have received transhipments from your LSTLV's.

A report template exists

October 2019

By 1 October, 2019:

Resolution 01/06 Concerning the IOTC bigeye tuna statistical document programme

INFORMATION REQUIRED: FIRST SEMESTER REPORT - IMPORT DATA OF BIGEYE TUNA

Paragraph 5:

For CPC's that imports bigeye tuna, shall transmit the import data for the period 1 January -30 June 2019 (of the current year).

A report template exists

November 2019

By 17 November, 2019:

Report of the Scientific Committee SC04 – National scientific report

INFORMATION REQUIRED: <u>NATIONAL SCIENTIFIC REPORT</u>

Paragraph 111:

The Scientific Committee agreed to request from countries, whether they attend or not the next session, to present the Committee with a National Report which would provide general fisheries statistics, report on the implementation of Committee recommendations, national research programs currently in place and other relevant subjects. The Committee requested the Secretariat to prepare a template with an outline of items that should be included in the report to guide in the preparation of such reports.

A report template exists

Note: The deadline to submit the National scientific report is 15 days before the session of the Scientific Committee.

December 2019

By 30 December, 2019:

Resolution 15/02 Mandatory statistical requirements for IOTC Members

INFORMATION REQUIRED: FINAL FISHERIES STATISTICS FOR LONGLINERS

Paragraph 1:

Final total catch, catch and effort, and size frequency data from longline fleets authorised to operate in the IOTC area of competence for the previous year.

Data and information to be sent to the Secretariat when providing the Report of Implementation.

Implementation Report due by 10 April 2019

By 10 April, 2019:

IOTC Agreement - *Report of Implementation*

INFORMATION REQUIRED: REPORT OF IMPLEMENTATION

Article X:

An annual statement of the actions taken with respect to IOTC conservation and management measures since the previous meeting.

A report template exists

All reporting requirements listed below, due by 10 April 2019, shall be reported in the Report of Implementation. When a template report exists, submit it together with the implementation report.

Report of the Commission S17 – Letter of feedback on compliances issues

INFORMATION REQUIRED: RESPONSE TO LETTER OF FEEDBACK

Paragraph 52:

The Commission **AGREED** that a deadline of 60 days before the next annual Session of the Commission be established for all CPCs to respond to the 'feedback letters on compliance issues' from the Commission and based on the deliberations of the CoC each year.

Resolution 01/06 Concerning the IOTC bigeye tuna statistical document programme

INFORMATION REQUIRED: <u>ANNUAL REPORT FOR BIGEYE TUNA STATISTICAL DOCUMENT PROGRAMME</u>

Paragraph 6:

CPCs which export bigeye tuna shall examine export data upon receiving the import data from the Secretary, and report the results to the Commission annually.

A report template exists

Recommendation 05/07 Concerning a management standard for the tuna fishing vessels

INFORMATION REQUIRED: <u>REPORT ON MEASURES TAKEN TO MEET MINIMUM MANAGEMENT STANDARD (FOR FLAGGED VESSELS)</u>

Paragraph 3:

The CPC flag States which issues licenses to their AFVs should report annually to the Commission all measures taken to meet the minimum management standard when they issue fishing licenses to their "authorised fishing vessels".

Resolution 10/10 *Concerning market related measures*

INFORMATION REQUIRED: <u>REPORT ON IMPORT, LANDING AND TRANSHIPMENT OF TUNA AND TUNA-LIKE FISH PRODUCTS</u>

Paragraph 1:

For CPCs that import tuna and tuna-like fish products, or in whose ports those products are landed or transhipped, should report, a range of information annually (e.g. information on vessels / owners, product data (species, weight), point of export).

report template exists

Implementation Report due by 10 April 2019

Resolution 11/02 *Prohibition of fishing on data buoys*

INFORMATION REQUIRED: REPORT ON OBSERVATIONS OF DAMAGED DATA BUOYS

Paragraph 6:

CPCs shall encourage their fishing vessels to report to them regarding any data buoys observed to be damaged or otherwise inoperable along with the date of observation, buoy location, and any discernable identifying information contained on the data buoy. CPCs shall notify the IOTC Secretariat of all such reports.

Resolution 11/04 On a Regional observer scheme

INFORMATION REQUIRED: <u>REPORT OF THE NUMBER OF VESSELS MONITORED AND THE COVERAGE ACHIEVED BY GEAR TYPE</u>

Paragraph 9:

CPCs shall provide to the Executive Secretary and the Scientific Committee annually a report of the number of vessels monitored and the coverage achieved by gear type in accordance with the provisions of this Resolution.

Resolution 12/04 *On marine turtles*

INFORMATION REQUIRED: <u>REPORT ON PROGRESS OF IMPLEMENTATION OF FAO</u> <u>GUIDELINES AND THIS RESOLUTION</u>

Paragraph 5:

CPCs shall report to the Commission, in accordance with Article X of the IOTC Agreement, their progress of implementation of the FAO Guidelines and this Resolution.

A report template exists

Resolution 12/06 On Reducing the Incidental Bycatch of Seabirds in Longline Fisheries

INFORMATION REQUIRED: <u>REPORT ON IMPLEMENTATION OF MEASURES TO REDUCE INCIDENTAL BYCATCH OF SEABIRDS IN LONGLINE FISHERIES</u>

Paragraph 3:

CPCs shall provide to the Commission, as part of their annual reports, information on how they are implementing this measure (This is to include all available information on interactions with seabirds, including bycatch by fishing vessels carrying their flag or authorised to fish by them. This is to include details of species where available to enable the Scientific Committee to annually estimate seabird mortality in all fisheries within the IOTC Area of Competence).

Resolution 13/04 *On the conservation of cetaceans*

INFORMATION REQUIRED: <u>REPORT ON INSTANCES OF CETACEANS ENCIRCLED</u>

Paragraph 8:

CPCs shall report, in accordance with Article X of the IOTC Agreement, any instances in which cetaceans have been encircled by the purse seine nets of their flagged vessels.

Resolution 13/05 *On the conservation of whale sharks (Rhincodon typus)*

INFORMATION REQUIRED: REPORT ON INSTANCES OF WHALE SHARKS ENCIRCLED

Paragraph 8:

CPCs shall report, in accordance with Article X of the IOTC Agreement, any instances in which whale sharks have been encircled by the purse seine nets of their flagged vessels.

Implementation Report due by 10 April 2019

Resolution 17/07 On the Prohibition to use large-scale driftnets in the IOTC Area

INFORMATION REQUIRED: <u>REPORT ON MCS ACTIONS RELATED TO LARGE-SCALE DRIFTNET FISHING ON THE HIGH SEAS</u>

Paragraph 6:

CPCs shall include in their Annual Reports a summary of monitoring, control, and surveillance actions related to large-scale driftnet fishing in the IOTC Area of Competence.

Resolution 18/01 On an interim plan for rebuilding the Indian Ocean Yellowfin tuna stock in the IOTC Area of Competence

INFORMATION REQUIRED: <u>REPORT ON MEASURES TO ACHIEVE YELLOWFIN TUNA CATCH REDUCTIONS</u>

Paragraph 7:

Flag States will determine appropriate methods for achieving these catch reductions, which could include capacity reductions, effort limits, *etc.*, and will report to the IOTC Secretariat in their Implementation Report, the measures they have taken.

Resolution 18/06 On establishing a programme for transhipment by large-scale fishing vessels

INFORMATION REQUIRED: <u>REPORT ON TRANSHIPMENT IN FOREIGN PORTS FOR FLAG VESSELS</u>

Annex I paragraph 6:

In-port transhipment by LSTV - Each flag CPC of the LSTV shall include in its annual report each year to IOTC the details on the transhipments by its vessels.

A report template exists

Resolution 18/07 On measures applicable in case of non-fulfilment of reporting obligations in the IOTC

INFORMATION REQUIRED: <u>REPORT ON ACTIONS TAKEN TO IMPLEMENT REPORTING</u> <u>OBLIGATIONS FOR ALL IOTC FISHERIES</u>

Paragraph 1:

CPCs shall include information in their Annual Reports (*Report of Implementation*) on actions taken to implement their reporting obligations for all IOTC fisheries, including shark species caught in association with IOTC fisheries, in particular the steps taken to improve their data collection for direct and incidental catches.

Resolution 18/08

Procedures on a fish aggregating devices (FADs) management plan, including more detailed specifications of catch reporting from FAD sets, and the development of improved FAD designs to reduce the incidence of entanglement of non-target species

$\frac{INFORMATION\ REQUIRED:\ \underline{REPORT\ ON\ PROGRESS\ OF\ IMPLEMENTATION\ OF\ FADs}{MANAGEMENT\ PLAN}$

Paragraph 13:

From 2015 on, CPCs shall submit to the Commission, 60 days before the Annual Meeting, a report on the progress of the management plans of FADs, including reviews of the initially submitted Management Plans, and including reviews of the application of the principles set out in **Annex III**.

Data and information to be sent to the Secretariat on an event basis (no fixed date for the deadline is specified).

Resolution 11/04 On a regional observer scheme

INFORMATION REQUIRED: OBSERVER REPORTS

Paragraph 11:

• The CPCs shall send within 150 days at the latest each report, as far as continuous flow of report from observer placed on the longline fleet is ensured, which is recommended to be provided with 1°x1° format to the Executive Secretary, who shall make the report available to the Scientific Committee upon request.

Resolution 14/05 Concerning A Record Of Licensed Foreign Vessels Fishing For IOTC Species In The IOTC Area Of Competence And Access Agreement Information

INFORMATION REQUIRED: <u>INFORMATION ON MODIFICATION TO ACCESS</u> <u>AGREEMENT</u>

Paragraph 5:

• For Government to Government Access Agreement: when an access agreement is modified in a manner that changes any of the information specified in paragraph 3, these changes shall be promptly notified to the Commission.

INFORMATION REQUIRED: LIST OF FOREIGN VESSELS DENIED A LICENCE

Paragraph 6:

• The CPCs shall notify the ship owner and flag State concerning foreign flagged fishing vessels that requested a license under a private access agreement or under a government to government access agreement and for which the request of license was denied. If the reason for denial is related to an infringement of IOTC legislation, the IOTC Compliance Committee shall address the issue at the next session accordingly.

INFORMATION REQUIRED: <u>TEMPLATE OF COASTAL STATE FISHING LICENSE</u>

Paragraph 8:

• When a coastal State fishing license is modified in a manner that changes the template, any of the information provided in it or the information provided in a) to e) of paragraph 7, these changes shall be promptly notified to the IOTC Executive Secretary.

Resolution 15/01 On The Recording Of Catch And Effort By Fishing Vessels In The IOTC Area Of Competence

INFORMATION REQUIRED: CHANGES TO OFFICIAL LOGBOOK TEMPLATE

Paragraph 4:

• If changes are made to the official logbook template after 15 February 2016, an updated template shall be submitted.

Resolution 15/04 Concerning the establishment of an IOTC record of vessels authorised to operate in the IOTC Area (note similar requirements in Recommendation 05/07 Concerning a management standard for tuna fishing vessels).

INFORMATION REQUIRED: CHANGES TO AUTHORISATION TO FISH

Paragraph 3:

• All CPCs which issue authorisation to fish to their flag vessels to fish for species managed by the IOTC shall submit and update this information whenever this information changes.

INFORMATION REQUIRED: CHANGES TO THE LIST OF AUTHORISED VESSELS

Paragraph 5:

• Provide any amendments to the list of vessels authorised to operate in the IOTC area.

INFORMATION REQUIRED: <u>REPORT ON MEASURES & PUNITIVE ACTIONS TAKEN</u> <u>AGAINST FLAG VESSELS</u>

Paragraph 8:

The internal actions and measures and punitive actions taken in accordance with this resolution.

INFORMATION REQUIRED: <u>REPORT ON VESSELS ENGAGED IN FISHING OR TRANSHIPMENT AND NOT ON THE IOTC LIST OF AUTHORISED VESSELS</u>

Paragraph 10:

 Provide factual information when there are reasonable grounds for suspecting vessels not on the IOTC List of Authorised Vessels is engaged in fishing or transhipment of tuna or tuna-like species in the IOTC Area.

Resolution 16/11 On Port State measures to prevent, deter and eliminate illegal, unreported and unregulated fishing

INFORMATION REQUIRED: REPORT ON DENIAL OF ENTRY INTO PORT

Paragraph 7.3:

• Port State CPCs that have denied a foreign fishing vessel entry into its port shall communicate its decision to the IOTC secretariat.

INFORMATION REQUIRED: REPORT ON DENIAL OF USE OF PORT

Paragraph 9.3:

• Port State CPCs that have denied a foreign fishing vessel the use of the port for landing, transshipping, packaging and processing of fish that have not been previously landed and for other port services shall promptly notify IOTC Secretariat.

INFORMATION REQUIRED: REPORT ON WITHDRAWAL OF DENIAL OF USE OF PORT

Paragraph 9.5:

• Port State CPCs that have withdrawn its denial, shall promptly notify the IOTC Secretariat.

INFORMATION REQUIRED: SUBMISSION OF INSPECTION REPORTS

Paragraph 13.1:

• Port State CPCs shall, within three full working days of the completion of an inspection, transmit by electronic means a copy of the inspection report and, upon request, an original or a certified copy thereof, to the IOTC Secretariat.

INFORMATION REQUIRED: <u>REPORT OF VESSELS ENGAGED IN IUU FISHING</u> FOLLOWING AN INSPECTION

Paragraph 15.1:

 Where, following an inspection, there are clear grounds for believing that a vessel has engaged in IUU fishing or fishing related activities the Port State CPCs shall promptly notify the IOTC Secretariat. **Resolution 17/07** On the Prohibition to use large-scale driftnets in the IOTC Area

INFORMATION REQUIRED: <u>LIST OF VESSELS USING LARGE-SCALE DRIFTNETS IN THE</u> EEZs

Paragraph 5:

For the purposes of monitoring the implementation of this Resolution, CPCs must notify the Secretariat of any CPC-flagged vessel using large-scale driftnets in their EEZs before the 31st of December 2020.

Resolution 18/03 On establishing a list of vessels presumed to have carried out illegal, unregulated and unreported fishing in the IOTC Area.

INFORMATION REQUIRED: <u>REPORT OF ADDITIONAL INFORMATION REGARDING</u> VESSELS ON THE DRAFT IUU VESSELS LIST

Draft IOTC IUU list - Paragraph 12:

A CPC may at any time submit to the IOTC Executive Secretary any additional information regarding vessels on the Draft IUU list, which might be relevant to the establishment of the IUU Vessel List. If the IOTC Secretariat receive this information after the Draft IUU Vessel List has been circulated to CPCs, it will circulate the information to all CPCs and to the flag States of listed vessels as soon as practicable.

INFORMATION REQUIRED: <u>SUBMIT INFORMATION FOR THE REMOVAL OF VESSEL</u> FROM IUU VESSELS LIST

Vessel Delisting Procedures - Paragraph 22:

The flag State of a vessel included in the IUU Vessel List may request the removal of the vessel from the list at any time, including during the inter-sessional period, by providing information to the IOTC Executive Secretary

INFORMATION REQUIRED: <u>REPORT NEW OR CHANGED INFORMATION FOR VESSELS</u> ON THE IUU VESSEL LIST

Change of details of vessels included on the IUU Vessels List - Paragraph 30:

A CPC with new or changed information for vessels on the IUU Vessel List in relation to the details in paragraphs 1 to 8 of Annex II shall, as soon as practicable, transmit such information to the IOTC Executive Secretary

Resolution 18/06 On establishing a programme for transhipment by large-scale fishing vessels

INFORMATION REQUIRED: CHANGES TO THE LIST OF AUTHORISED CARRIER VESSELS

Paragraph 7:

• Any changes to the list of carrier vessels that are authorised to receive at-sea transhipments from your LSTLV's in the IOTC Area.

INFORMATION REQUIRED: TRANSHIPMENT DECLARATION

Paragraph 15:

• Masters of receiving carrier vessels to transmit to the Secretariat the IOTC transhipment declaration, along with its number in the IOTC Record of Carrier Vessels within 24 hours of the completion of the transhipment.

Resolution 18/10 On Vessel Chartering in the IOTC Area of Competence

INFORMATION REQUIRED: CHARTER AGREEMENTS

Part IV: Charter notification scheme

Paragraph 4:

Within 15 days, or, in any case, prior to 72 hours before commencement of fishing activities under a Charter agreement:

The chartering CP shall notify the IOTC Executive Secretary of any vessel to be identified as chartered in accordance with this Resolution by submitting electronically where possible the following information with respect to each chartered vessel:

- a) the name (in both native and Latin alphabets) and registration of the chartered vessel, and International Maritime Organization (IMO) ship identification number (if eligible);
- b) the name and contact address of the beneficial owner(s) of the vessel;
- c) the description of the vessel, including the length overall, type of vessel and the type of fishing method(s) to be used under the charter;
- d) a copy of the chartering agreement and any fishing authorization or license it has issued to the vessel, including in particular the quota allocation(s) or fishing possibility assigned to the vessel; and the duration of the chartering arrangement;
- e) its consent to the chartering agreement; and
- f) the measures adopted to implement these provisions;

The flag CP or Cooperating Non-Contracting Party, shall provide the following information to the IOTC Executive Secretary:

- a) its consent to the chartering agreement;
- b) the measures adopted to implement these provisions; and
- c) its agreement to comply with IOTC Conservation and Management Measures.

Submission register

Keep a record of the submission of the data and information by completing the below table.

Submission date	Resolution	Requirements	Name of the person
15/03/2019	16/11	Denial of entry in port	Kevin Boyle

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Notebook



IOTC Members and non-members

Commission Contracting Parties (Members)

Membership of IOTC is open to Indian Ocean coastal countries and to countries or regional economic integration organisations which are members of the UN or one of its specialised agencies, and are fishing for tuna in the Indian Ocean. There are currently 32 Contracting Parties (Members), the majority of which are Nation States.

Commission Cooperating Non-Contracting Parties (CNCP)

In addition to being a full Member, States with a real interest in the Indian Ocean fisheries of tuna and tuna-like species can participate in the IOTC process as a Cooperating Non-Contracting Party (CNCP). This status is granted for a period of one year, upon request from the State. CNCPs are not obliged to pay a financial contribution, but they do not enjoy voting rights on IOTC matters, and they are subject to the same regulations as the full Members. CNCP status is reviewed annually by the Commission.

The current membership of the Commission are available at the following link.

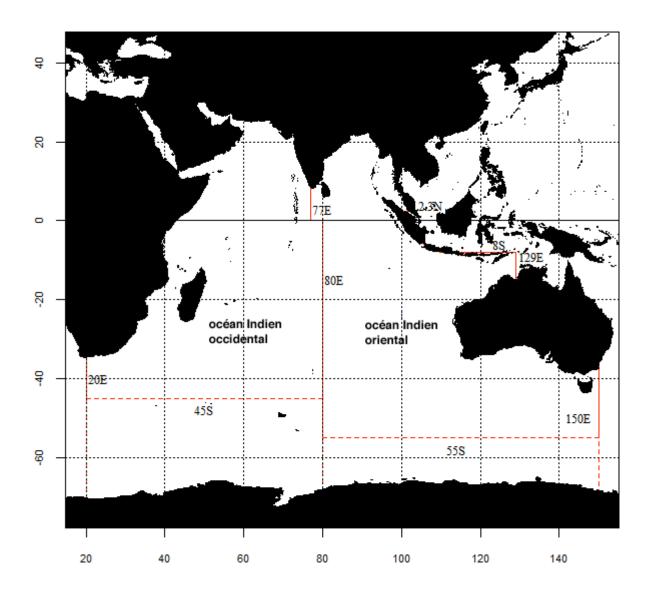
http://www.iotc.org/about-iotc/structure-commission

IOTC Area of Competence

IOTC Agreement: Article II. AREA OF COMPETENCE

"The area of competence of the Commission (hereinafter referred to as the "Area") shall be the Indian Ocean (defined for the purpose of this Agreement as being FAO statistical areas 51 and 57 as shown on the map set out in Annex A to this Agreement) and adjacent seas, north of the Antarctic Convergence, insofar as it is necessary to cover such seas for the purpose of conserving and managing stocks that migrate into or out of the Indian Ocean."

Note: The Commission, at its 4th Session in 1999 agreed to modify the western boundary of the IOTC area of competence from 30°E to 20°E, thus eliminating the gap between the areas covered by IOTC and ICCAT.



Useful links for reporting IOTC data and information

COMPLIANCE

Reporting templates

http://www.iotc.org/compliance/reporting-templates

• DATA AND STATISTICS

http://www.iotc.org/data-and-statistics

IOTC Resolution 15/02 On Mandatory Statistical Requirements

http://www.iotc.org/cmm/resolution-1502-mandatory-statistical-reporting-requirements-iotc-contracting-parties-and

Guidelines for the reporting of fisheries statistics to the IOTC

http://www.iotc.org/sites/default/files/Guidelines Data Reporting IOTC1.pdf

Reporting data to the IOTC

http://www.iotc.org/data-and-statistics#Reporting Data to the IOTC

Status of IOTC fisheries statistics and data quality

http://www.iotc.org/data/status-reporting-iotc-stats

Datasets available

http://www.iotc.org/data/datasets

• REGIONAL OBSERVER SCHEME: SCIENCE

IOTC Resolution 11/04 On a regional observer scheme

IOTC Observer Trip Report Template (ZIP file) and IOTC Observer Forms (available in English and in French)

http://www.iotc.org/science/regional-observer-scheme-science

• IOTC ONLINE DATA QUERYING SERVICE

http://www.iotc.org/iotc-online-data-querying-service

DATA CONFIDENTIALITY POLICY

IOTC Resolution 12/02 On Data Confidentiality Policy and Procedures

http://www.iotc.org/cmm/resolution-1202-data-confidentiality-policy-and-procedures