

OUTCOMES OF THE FIFTEENTH SESSION OF THE COMMISSION

PREPARED BY: IOTC SECRETARIAT, 4 NOVEMBER 2011

PURPOSE

To inform the Working Party on Neritic Tunas (WPNT) of the decision and requests made by the Commission at its Fifteenth Session, held from 18–22 March 2011, specifically relating to the work of the WPNT.

BACKGROUND

At the 15th Session of the Commission, Members considered a range of topics related to the work of the Working Party on Neritic Tunas (WPNT). Although no species specific proposals for new or revised Conservation and Management Measures were made in 2011, several proposals related to data collection and catch documentation were considered. Of these proposals one was adopted as a recommendation rather than as a binding resolution:

- 1) The Commission **adopted** Recommendation 11/06 *Concerning the Recording of Catch by Fishing Vessels in the IOTC Area of Competence* (provided at Attachment A). This Recommendation consolidates Resolution 08/04 *Concerning the Recording of Catch by Longline Fishing Vessels in the IOTC Area* and Resolution 10/03 *Concerning the Record of Catch by Fishing Vessels in the IOTC Area*, but does not supersede them, as well as setting agreed minimum standards for data requirements for all pole-and-line and gillnet fleets operating in the IOTC area of competence, in order to harmonize data gathering and provide a common basis for scientific analysis for all IOTC CPCs. Some CPCs indicated that they would not be in a position to implement the proposal (para. 116 of the S15 report).

In addition, the Commission considered the following proposal as a Conservation and Management Measure relating to the work of the WPNT, but consensus could not be reached:

- 1) The Commission considered a proposal *on a catch documentation scheme* (provided at Attachment B), but no consensus could be reached. The proposal aimed to support the implementation of the IOTC Conservation and Management Measures by providing a scheme to identify the origin of tunas and tuna-like species and other species of fish taken by vessels fishing for tunas and tuna-like species within the IOTC area of competence (para. 118 of the S15 report).

DISCUSSION

At the 15th Session of the Commission, Members also made several requests to CPCs, the Scientific Committee and directly to the Working Party on Neritic Tunas (WPNT), which participants are asked to consider:

Comments of the Commission and consideration of the recommendations made by the Scientific Committee

- 1) The Commission expressed concern that data and statistics for gillnet fisheries are still poor, while those fisheries are catching approximately 14% of the total catch in the Indian Ocean. The Commission **noted** that these fisheries are also believed to have substantial impacts on bycatch species and associated ecosystems (para. 33 of the S15 report).
- 2) The Commission **reminded** CPCs of the importance of timely reporting of data and **requests** that each CPC review the data reporting requirements contained in IOTC Conservation and Management Measures (para. 34 of the S15 report).
- 3) The Commission **requests** that all CPCs improve compliance with the various statistical reporting requirements and deadlines in order for the necessary analyses and assessments to be completed, on which the advice of the Scientific Committee will be based (para. 35 of the S15 report).
- 4) The Commission recognized that piracy activities in the western Indian Ocean, have had substantial negative consequences on the activities of some fleets, as well as the level of observer coverage in these areas. The Commission **requests** that the Scientific Committee assess the effect of piracy on fleet operations and subsequent catch and effort trends (para. 40 of the S15 report).
- 5) The Commission expressed its disappointment that the Working Party on Neritic Tuna has not yet held its first meeting. The Commission **noted** that neritic tuna are an important species for many coastal countries, as a source

of revenue and food. The Commission **requests** that the Working Party on Neritic Tuna hold its first meeting in 2011, if possible in conjunction with another Working Party meeting to minimise travel requirements (para. 41 of the S15 report).

- 6) The Commission **requests** the Scientific Committee in its 2011 Session, to evaluate the data provision needs for longline, purse seine, gillnet and pole-and-line gear types, notably regarding information relating to the vessel characteristics and the definition of the pole-and-line ‘fishing event’. The evaluation is requested in order to ensure that consistent and uniform information is collected to assist the IOTC to fulfil its mandate. The Scientific Committee should make appropriate recommendations to the 2012 Commission meeting (para. 45 of the S15 report).

The WPNT should discuss each of the above requests and respond during the current meeting so that the Scientific Committee can respond appropriately to the Commission’s requests.

RECOMMENDATION

That the WPNT **NOTE** the outcomes of the Fifteenth Session of the Commission, and consider how best to provide the Scientific Committee with the information it needs, in order to satisfy the Commission’s requests.

ATTACHMENTS

Attachment A: Recommendation 11/06 *Concerning the Recording of Catch by Fishing Vessels in the IOTC Area of Competence*

Attachment B: Proposal by the Japan *on a catch documentation scheme*

RECOMMENDATION 11/06
CONCERNING THE RECORDING OF CATCH AND EFFORT BY FISHING VESSELS IN
THE IOTC AREA OF COMPETENCE

The Indian Ocean Tuna Commission (IOTC),

RECALLING the commitment made by members under Article V of the IOTC Agreement to keep under review the conditions and trends of the stocks and to gather, analyse and disseminate scientific information, catch and effort statistics and other data relevant to the conservation and management of the stocks and to fisheries based on the stocks covered by the Agreement;

CONSIDERING the provisions set forth in Resolution 10/02 Mandatory Statistical Requirements for IOTC Members and Co-operating Non-Contracting Parties (CPCs), and in particular paragraph 3, which sets out the catch and effort reporting requirements for surface fisheries, longline and coastal fisheries;

ACKNOWLEDGING that the IOTC Science Committee has repeatedly stressed the importance of the timeliness and accuracy of data submissions for members;

ALSO RECALLING the outcomes of the 9th Session of the IOTC Scientific Committee held in Victoria, Seychelles from 6 to 10 November 2006 where it was agreed that a standardised logbook would be advantageous and agreed on the minimum requirements for all purse seine and bait boat fleets operating in the IOTC Area of competence in order to harmonize data gathering and provide a common basis for scientific analysis for all IOTC Contracting Parties and Cooperating non-Contracting Parties (CPCs);

FURTHER RECALLING the recommendations adopted by the KOBE II Workshop on Bycatch, held in Brisbane, Australia, 23–25 June 2010; in particular that RFMOs should consider adopting standards for bycatch data collection which, at a minimum, allows the data to contribute to the assessment of bycatch species population status and evaluation of the effectiveness of bycatch measures, and that the data should allow the RFMOs to assess the level of interaction of the fisheries with bycatch species;

ALSO CONSIDERING the deliberations of the 12th Session of the IOTC Scientific Committee held in Victoria, Seychelles from 30 November to 4 December 2009;

FURTHER CONSIDERING the deliberations of the 13th Session of the IOTC Scientific Committee held in Victoria, Seychelles from 6 to 10 December 2010, that recommended three options, one of which is mandatory reporting of a revised list of shark species in logbooks to improve the data collection and statistics on sharks in the IOTC Area of competence;

FURTHER CONSIDERING the works of the small task force created by the IOTC Scientific Committee during its 10th Session held in Seychelles in November 2007, to harmonise the various forms currently used by the fleets and the IOTC Scientific Committee agreement on the minimum standard requirements for all purse seine, longline and gillnet fleets as well as the produced logbook template;

RECOMMENDS in accordance with the provisions of the Agreement Establishing the IOTC, that:

- 1 Each flag CPC should ensure that all purse seine, longline, gillnet and pole and line fishing vessels flying its flag and authorized to fish species managed by IOTC be subject to a data recording system.
- 2 Within the IOTC Area of competence, all purse seine, longline, gillnet and pole and line fishing vessels over 24 metres length and those under 24 metres if they fish outside the EEZs of their flag States should keep a bound or electronic logbook to provide data for use by Working Parties and the Scientific Committee that includes, as a minimum requirement, the information and data in the logbook set forth in Annex I and II.
- 3 The logbooks format consists of two parts, Annex I and Annex II, and logbook templates are provided for illustrative purposes only for all gears (Annex III, IV, V and VI):

Annex I includes information on vessel, trip and gear configuration, and need only be completed once for each trip, unless the gear configuration changes during the trip.

Annex II contains information of purse seine, longline, gillnet and pole and line operations and catch, which must be completed for each set of the fishing gear.

- 4 The logbook data should be provided by the fishing masters to the flag State administration, as well as to the coastal State administration where the vessel has fished in that coastal State's EEZ. The flag State and the States which receive this information should provide all the data for any given year to the IOTC Secretariat and the Scientific Committee by June 30th of the following year on an aggregated basis. The confidentiality rules set out in Resolution 98/02 *Data Confidentiality Policy and Procedures* for fine-scale data shall apply.
- 5 The Commission will review this recommendation at its 2012 annual meeting, taking into account the recommendations of the Scientific Committee, with the view of adopting a resolution to implement reporting requirements across all gear types.

ANNEX I

Record once per trip (unless gear configuration changes)

1.1 REPORT INFORMATION

- 1) Date of the submission of logbook
- 2) Name of reporting person

1.2 VESSEL INFORMATION

- 1) Vessel name and/or registration number
- 2) IOTC number, where available
- 3) Call sign: if call sign is not available, other unique identifying code such as registration or fishing licence number should be used
- 4) Vessel size: gross tonnage and/or overall length (meters)

1.3 CRUISE INFORMATION

For multiday fishing operations record the

- 1) Departure date and port
- 2) Arrival date and port

1.4 OTHER REQUIRED INFORMATION

Longline (Gear Configuration):

- 1) Average branch line length (meters): straight length in meters between snap and hook (Figure 1)
- 2) Average float line length (meters): straight length in meters from the float to the snap
- 3) Average length between branch (meters): straight length of main line in meters between successive branch lines
- 4) Main line material classified into four categories:
 - a. Thick rope (Cremona rope)
 - b. Thin rope (PE or other materials)
 - c. Nylon braided
 - d. Nylon monofilament

Purse Seine (Search Information):

- 1) Days searched
- 2) Spotter plane used (Yes/No)

Gillnet (Gear Configuration):

- 1) Minimum and maximum fishing depth of assembled net (meters): record the maximum and minimum of the depth range fished
- 2) Mesh size of net (millimetres): record the size of the mesh size used during the trip
- 3) Height of assembled net (meters): height on assembled net in meters
- 4) Netting material: e.g. nylon braid, nylon monofilament, etc
- 5) Total length of net lost and not recovered (meters): record the total length lost during the trip

Pole and line

- 1) Activity: reported each day from the start of the trip to the end of the trip. Activities should include “a day fishing or search with bait onboard”, “no fishing – collecting bait”, “no fishing – in transit”; no fishing – gear breakdown”; no fishing – bad weather” and no fishing – in port

ANNEX II

Record once per set/shot/operation

2.1 OPERATION

For longline:

- 1) Date of set (YYYY/MM/DD)
- 2) Position in latitude and longitude: either at noon (local time) position or position of start of gear, area code of operation (e.g. Seychelles EEZ, High seas, etc) may be optionally used
- 3) Local Time (24 hr) of starting setting the gear
- 4) Sea surface temperature at noon with one decimal point, if available (XX.X°C)
- 5) Number of hooks between floats: if there are different hooks counts between floats in a single set then record the most representative (average) number
- 6) Total number of hooks used in the set
- 7) Number of light-sticks used in the set
- 8) Type of bait used in the set

For purse seine:

- 1) Date of fishing activity (YYYY/MM/DD)
- 2) Position in latitude and longitude: for each set or at noon (local time) position
- 3) Details of the set or deployment of FAD: specify if the set was successful, nil, time, well
- 4) Type of school: FAD association (specify the type e.g. object, beacon, whale shark, whale, etc) and/or free swimming school
- 5) Sea surface temperature at noon with one decimal point, if available (XX.X°C)
- 6) Current speed (knots) and direction (degrees)

For gillnet:

- 1) Date of set (YYYY/MM/DD): record the date for each set of day at sea (for days without sets)
- 2) Total length of net (meters): length floatline used for each set in meters
- 3) Start fishing time: record the UCT time (24 hr) when starting each set
- 4) Start and end position in latitude and longitude: record start and end latitude and longitude that represent the area that your gear is set between. Record the latitude and longitude at noon for days with no set.
- 5) Depth at which net is set (meters): approximate depth at which the gillnet is set
- 6) Start Haul Time: record the UCT time (24 hr) when hauling starts
- 7) Finish Haul Time: record the UCT time (24 hr) when hauling ends

For Pole and Line

- 1) Date of fishing: record the day of fishing. Each fishing day should be recorded separately.
- 2) Number of fishermen: record the number of fishermen on the boat by fishing day (fishing event)
- 3) Number of fishing gears used: Record the number of fishing gears used during the day (fishing event)
- 4) Start fishing time: record the UCT time (24 hr) immediately after bait fishing is complete and the vessel heads to the ocean for fishing. For multiple days, the time at which search starts should be recorded
- 5) End fishing time: record the UCT time (24 hr) immediately after fishing is complete from the last school. This is the time that the captain decides to head home. On multiple days this is the time fishing stopped from the last school.
- 6) Position of the catch: record the latitude and longitude at the start of the fishing event, record the latitude and longitude at noon for non-fishing days. Where information is recorded by day, record the average 1° x 1° area(s) where fishing took place.
- 7) Type of school: FAD associated and/or free school

2.2 CATCH

- 1) Catch weight (kg) or number by species per set/shot/fishing event for each of the species and form of processing in section 2.3:

- a. For longline by number and weight;
- b. For purse seine by weight;
- c. For gillnet by weight;
- d. For pole and line by weight or number

2.3 SPECIES

For Longline:

Fish Species	Other Species
Southern Bluefin Tuna (<i>Thunnus maccoyii</i>)	Blue Shark (<i>Prionace glauca</i>)
Albacore Tuna (<i>Thunnus alalunga</i>)	Mako Sharks (<i>Isurus</i> spp.)
Bigeye Tuna (<i>Thunnus obesus</i>)	Porbeagle Shark (<i>Lamna nasus</i>)
Yellowfin Tuna (<i>Thunnus albacores</i>)	Oceanic Whitetip Shark (<i>Carcharhinus longimanus</i>)
Skipjack Tuna (<i>Katsuwonus pelamis</i>)	Hammerhead Sharks (<i>Sphyrna</i> spp.)
Swordfish (<i>Xiphius gladius</i>)	Other sharks
Striped marlin & blue marlin (<i>Tetrapturus audax</i> & <i>Makaira indica</i>)	Optional species to be recorded
Swordfish (<i>Xiphius gladius</i>)	
Black Marlin (<i>Makaira mazara</i>)	Thresher Sharks (<i>Alopias</i> spp.)
Striped marlin & blue marlin (<i>Tetrapturus audax</i> & <i>Makaira indica</i>)	
Shortbilled spearfish (<i>Tetrapturus angustirostris</i>)	Tiger Shark (<i>Galeocerdo cuvier</i>)
Black Marlin (<i>Makaira mazara</i>)	
Indo–Pacific Sailfish (<i>Istiophorus platypterus</i>)	Crocodile Shark (<i>Pseudocarcharias kamoharai</i>)
Shortbilled spearfish (<i>Tetrapturus angustirostris</i>)	
Other bony fishes	Other Requiem sharks (<i>Carcharhinus</i> spp.)
Indo–Pacific Sailfish (<i>Istiophorus platypterus</i>)	
Other bony fishes	Great White shark (<i>Carcharodon carcharias</i>)
	Pelagic stingray (<i>Pteroplatytrygon violacea</i>)

For Purse Seine:

Fish Species	Others Optional
Albacore Tuna (<i>Thunnus alalunga</i>)	Whale Shark (<i>Rhincodon typus</i>)
Yellowfin Tuna (<i>Thunnus albacores</i>)	Oceanic Whitetip Shark (<i>Carcharhinus longimanus</i>)
Skipjack Tuna (<i>Katsuwonus pelamis</i>)	Silky sharks (<i>Carcharhinus falciformis</i>)
Bigeye Tuna (<i>Thunnus obesus</i>)	Other sharks
Other fishes	

For Gillnet:

Fish Species	Other Species
Albacore Tuna (<i>Thunnus alalunga</i>)	Blue Shark (<i>Prionace glauca</i>)
Bigeye Tuna (<i>Thunnus obesus</i>)	Mako Sharks (<i>Isurus</i> spp.)
Longtail Tuna (<i>Thunnus tonggol</i>)	Porbeagle Shark (<i>Lamna nasus</i>)
Yellowfin Tuna (<i>Thunnus albacores</i>)	Oceanic Whitetip Shark (<i>Carcharhinus longimanus</i>)
Skipjack Tuna (<i>Katsuwonus pelamis</i>)	Hammerhead Sharks (<i>Sphyrna</i> spp.)
Frigate Tuna (<i>Auxis thazard</i>)	Other sharks
Kawakawa (<i>Euthynnus affinis</i>)	Optional species to be recorded
Narrow banded Spanish Mackerel (<i>Scomberomorus comerson</i>)	Thresher Sharks (<i>Alopias</i> spp.)
Indo–Pacific King Mackerel (<i>Scomberomorus guttatus</i>)	Tiger Shark (<i>Galeocerdo cuvier</i>)

Marlins (<i>Tetrapturus</i> spp, <i>Makaira</i> spp)	Crocodile Shark (<i>Pseudocarcharias kamoharai</i>)
Indo-Pacific Sailfish (<i>Istiophorus platypterus</i>)	Other Requiem sharks (<i>Carcharhinus</i> spp.)
Shortbilled spearfish (<i>Tetrapturus angustirostris</i>)	Great White shark (<i>Carcharodon carcharias</i>)
Swordfish (<i>Xiphius gladius</i>)	
Other fishes	

For Pole and Line:

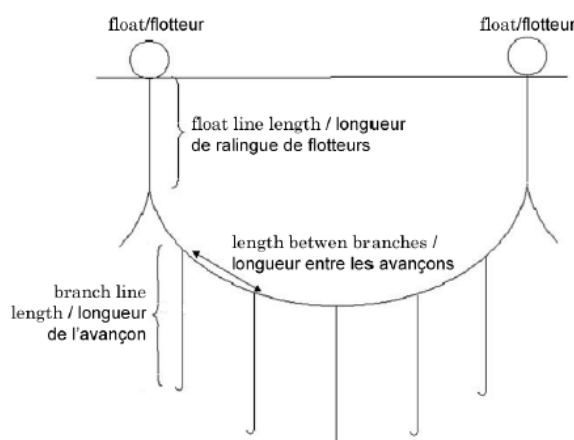
Fish Species
Skipjack Tuna (<i>Katsuwonus pelamis</i>)
Yellowfin Tuna (<i>Thunnus albacores</i>)
Bigeye Tuna (<i>Thunnus obesus</i>)
Albacore Tuna (<i>Thunnus alalunga</i>)
Frigate Tuna (<i>Auxis thazard</i>)
Kawakawa (<i>Euthynnus affinis</i>)
Longtail Tuna (<i>Thunnus tonggol</i>)
Narrow banded Spanish Mackerel (<i>Scomberomorus comerson</i>)
Other fishes

2.4 REMARKS

- 1) Discard Weight (kg) or number
 - a. For longline by number and weight
 - b. For purse seine estimate weight for each species
 - c. For gillnet by weight
 - d. For pole and line by weight or number
- 2) Any interactions with whale sharks (*Rhincodon typus*) and marine mammals are encouraged to be recorded
- 3) Discard of tuna, tuna-like fish and sharks, turtles and seabirds should be recorded in the remarks
- 4) Other information is also written in the remarks
- 5) Recall the Recommendation 10/13 *On the Implementation of a Ban on Discards of Skipjack Tuna, Yellow Fin Tuna, Bigeye Tuna and Non Targeted Species Caught by Purse Seiners*

Note: The species included in the logbooks are regarded as minimum requirement. Optionally other frequently caught shark and/or fish species should be added as required across different areas and fisheries.

Figure 1. Schematic diagram of longline gear / Diagramme schématique d'une palangre.



ATTACHMENT B

PropA[E]

ON A CATCH DOCUMENTATION SCHEME

SUBMITTED BY JAPAN

The Indian Ocean Tuna Commission (IOTC),

CONCERNED that illegal, unreported and unregulated (IUU) fisheries are still one of the factors hampering sustainable use of fishery resources;

RECOGNIZING that the ICCAT Catch Documentation Scheme for Atlantic bluefin tuna is working effectively to prevent IUU products from entering the market;

RECALLING that one of the reasons why some countries proposed to list several shark species in CITES Appendix II at the COP 15 of CITES in Doha was lack of information on shark;

FURTHER RECALLING that participants to the Joint Tuna RFMO workshop on Monitoring, Control and Surveillance held in Barcelona from June 3 to 5 this year supported the expansion of the catch documentation scheme to species other than Atlantic bluefin tuna and southern bluefin tuna;

RECOGNIZING that special consideration should be given to implementation of the catch documentation scheme for sharks;

DETERMINED to take further measures to combat IUU fisheries and collect information on catch and trade;

ADOPTS, in accordance with the provisions of Article IX, paragraph 1 of the IOTC Agreement, the following:

PART I GENERAL PROVISIONS

1. The IOTC Members and Cooperating non-Contracting Parties (CPCs), in order to support implementation of conservation and management measures, shall take the necessary measures to implement an IOTC Catch Documentation Scheme (the Scheme) for the purpose of identifying the origin of tunas and tuna-like species and other species of fish taken by vessels fishing for tunas and tuna-like species within the IOTC area of competence (the Area). Initially, the species subject to the Scheme (hereinafter referred to as "the Species") shall be bigeye tuna, and shark species which shall be decided at the 2012 annual meeting of IOTC, taking into account advice from the Scientific Committee. The Scientific Committee shall, at its 2011 plenary session, make such advice based on the various factors such as resource status, requirement of data collection and actual trade of shark species. In any case, thresher shark species (family Alopiidae) subject to the prohibition of retention by Resolution 10/12 are not subject to this Resolution.

2. For the purpose of this Programme:

a) "Export" means:

Any movement of the Species caught in the Area by a fishing vessel flying the flag of a CPC to the territory of another CPC or non-Member to the IOTC, or from the fishing grounds in the Area to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Member to the IOTC

b) "Import" means:

Any introduction of the Species in their caught or processed forms into the territory of a CPC, which is not the CPC where the fishing vessel is flagged

c) "Re-export" means:

Any movement of the Species in their caught or processed forms from the territory of a CPC where it had been previously imported

d) "Transshipment" means:

Any transfer of fish from vessels that have caught the fish to carrier vessels either at sea or in port

PART II CATCH DOCUMENTS

3. In case that the Species is landed within the territory of CPCs, an IOTC catch document (IOCD) shall be produced and validated. Each consignment of the Species imported into or exported or re-exported from the territory of a CPC after landing shall be accompanied by a validated IOCD and, as applicable, a validated IOTC re-export certificate (IORC). Any such import, export or re-export of the Species without a completed and validated IOCD or IORC shall be prohibited.

4. Each CPC shall provide IOCD forms only to fishing vessels authorized to catch the Species in the Area. Such forms are not transferable to another fishing vessel except for the cases under paragraph 12. Each IOCD form shall have a unique document identification number. Document numbers shall be specific to the flag CPC and assigned to the fishing vessel.

5. Copies of IOCD shall follow each part of split consignments or processed product, using the unique document number of the original IOCD in order to track them.

6. CPCs shall keep copies of IOCDs issued or received for at least two years.

7. Export, import and re-export of fish parts other than the meat and shark fins (i.e., heads, eyes, roes, guts, bones and tails) shall be exempted from the requirements of this Resolution. Products for hand carrying by travelers shall also be exempted from the requirements of this Resolution.

8. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CPC shall complete the IOCD, by providing the required information in appropriate sections and request its validation for catch information and transshipment information in accordance with paragraph 10. The exporter shall complete the IOCD for the trade information and request its validation in accordance with paragraph 10.

9. All the information in **Annex 1** shall be filled-in in the form of the IOCD. All the annexes shall be filled-in in accordance with instruction sheets to be established by the Commission before the starting of the Scheme.

10. a) The Catch Information section, the Transshipment Information section and the Trade information section of the IOCD shall be validated respectively by an authorized government official, or other authorized individual or institution, of the flag CPC of the fishing vessel. Each section shall not be validated until the previous section is validated.

b) Notwithstanding paragraph a), in the case that the fishing vessel operates fishing under charter arrangement with another CPC (hereinafter referred to as "chartering CPC"), the Catch

Information section, the Transshipment Information section and the Trade information section of the IOCD shall be validated by an authorized government official or other authorized individual or institution, of the chartering CPC.

c) Each section of the IOCD shall be validated only when all the information contained in the section has been established to be accurate as a result of the verification of the consignment, and only when those products comply with all relevant provisions of the conservation and management measures.

d) Validation shall not be required in the event that each fish available for sale is tagged in accordance with paragraph 21 by the flag CPC of the fishing vessel that fished the Species. However, the IOCD shall be completed and accompany the products. In the case that the Species is traded in a fresh or chilled form, a tag shall be attached to it.

e) IOTC shall develop an electronic validation scheme by the end of 2013 where electronic forms are electronically validated and communicated to other CPCs and the Secretariat.

11. Where the Species quantities caught and landed are less than 1 metric ton, the logbook or the sales note may be used as a temporary IOCD, pending the validation of the IOCD within seven days and prior to export.

12. Where the Species are caught by artisanal fishing vessels and exported, one IOCD may cover catches of more than one fishing vessel to aggregate their catches. In this case, all the names of the fishing vessels as well as their corresponding registration numbers shall be written in the Fishing Vessel Information section of IOCD or attached as annex (Flag, Call Sign, IMO/Loyd's #, Fishing License # and License Expiration Date do not have to be written).

PART III THE SPECIES DESTINED TO PROCESSING PLANTS

13. Notwithstanding paragraph 3 a), in the case that the Species is caught by purse seiners and brought to processing plants, the catch information may be validated at processing plants. Such processing plants shall be within the territory of CPCs and registered to the Commission.

14. Notwithstanding paragraph 10 a), catch validation at processing plants may be done by an authorized government official, or other authorized individual or institution of the CPC where the processing plant is situated.

15. In case that the imported Species is processed and re-exported, the processing plan shall produce an IOTC processing declaration (**Annex 2**), which shall be verified by the authorized representative of the exporting CPC. The importer shall submit to the importing CPC the original (or copy if not possible) of the IOCD or IORC attached to the imported products.

PART IV SHARKS

16. If sharks caught and landed by different fishing vessels are aggregated, and the whole or part of the aggregated products is transacted and traded, **Annex 3** may be used to record such transaction and trade. In this case, **Annex 3** shall be accompanied by the copy or original of all the relevant IOCDs.

PART V RE-EXPORT CERTIFICATES

17. Each CPC shall ensure that the Species consignment which is re-exported from its territory be accompanied by a validated IORC.
18. The operator who is responsible for the re-export shall complete the IORC by providing the required information in its appropriate sections and request its validation for the Species consignment to be re-exported. The completed IORC shall be accompanied by a copy of the validated IOCD relating to the Species products previously imported.
19. The IORC shall be validated by an authorized government official, or other authorized individual or institution.
20. The CPC shall validate the IORC for the Species product only when:
- a) all the information contained in the IORC has been established to be accurate,
 - b) the validated IOCD(s) submitted in support to the IORC had been accepted for the importation of the products declared on the IORC,
 - c) the products to be re-exported are wholly or partly the same products on the validated IOCD(s) and
 - d) a copy of the IOCD(s) shall be attached to the validated IORC.
21. The form of the IORC shall be **Annex 4**. Each space may be expanded as necessary.

PART VI TAGGING

22. In the case that each fish available for sale is tagged, the flag CPCs shall require their fishing vessels to affix a tag to each fish no later than the time of landing or transshipping. Tags shall have unique country specific numbers and be tamper proof. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CPC shall complete **Annex 5** to be attached to **Annex 1** and indicate the total by species in the Catch Information Section of **Annex 1**. A summary of the implementation of the tagging program shall be submitted to the Secretariat by the CPC.
23. When sharks are separated into bodies and fins on board the catching vessels and tagged, bodies and fins shall be tagged, respectively. CPCs that implements tagging for sharks shall develop special tags which shall be able to identify matching of bodies and fins from the same individuals. Alternatively, the tag number of the body and that of its corresponding fins shall be specified so as to identify matching.

PART VII COMMUNICATION AND VERIFICATION

24. Each CPC shall communicate electronically a copy of all validated IOCDs or IORCs within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the Secretariat. In the case of tagging, each CPC shall communicate electronically a copy of all IOCDs including **Annex 5** as soon as possible to the Secretariat.
25. The Secretariat shall extract from the IOCDs or IORCs communicated under paragraph 24 above the information marked with an asterisk in **Annex 1, 3, 4 and 5**, and enter this information in a database on a

password protected section of its website, as soon as practicable. At its request, the Scientific Committee shall have access to the catch information contained in the database, except the vessel names.

26. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of the Species imported into or exported or re-exported from its territory and request and examine the validated IOCD(s) and related documentation of each consignment of the Species. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the IOCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.

27. If, as a result of examinations or verifications carried out pursuant to paragraph 26, a doubt arises regarding the information contained in a IOCD, the importing CPC and the CPC whose competent authorities validated the IOCD(s) or IORC(s) shall cooperate to resolve such doubts.

28. If a CPC involved in trade of the Species identifies a consignment with no IOCD or no valid IOCD, it shall notify the findings to the exporting CPC and, where known, the flag CPC.

29. Pending the examinations or verifications under paragraph 26 to confirm compliance of the Species consignment with the requirements in the present Resolution and any other relevant measures adopted by IOTC, the CPC shall not grant its release for import or export.

30. Where a CPC, as a result of examination or verifications under paragraph 26 and in cooperation with the validating authorities concerned, determines that an IOCD or IORC is invalid, the import, export or re-export of the Species products concerned shall be prohibited.

31. The Commission shall request the non-CPCs that are involved in import, export or re-export of the Species to cooperate with the implementation of the Scheme to provide to the Commission data obtained from such implementation.

PART VIII COMMUNICATION OF DATA

32. CPCs that validate IOCDs in respect of their flag fishing vessels and/or IORCs, shall notify to the Secretariat:

(a) the name and full address of their authorities responsible for validating and verifying IOCDs or IORCs, and

(b) the name, title, and signature of the validating officials who are individually empowered. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the catch documentation program shall be communicated with the initial notification. Updated details on validating authorities, officials and national provisions shall be communicated to the Secretariat in a timely fashion.

33. The information on validating authorities and officials transmitted by notifications to the Secretariat shall be placed on a password protected section of its website held by the Secretariat. The list of the CPCs having notified their validating authorities, officials and the dates of entry into force of the entitlement shall be placed on a publicly accessible website held by the Secretariat. CPCs are encouraged to access this information to help verify the validation of IOCDs and IORCs.

34. Each CPC shall notify to the Secretariat the points of contact (name and e-mail address) that should be informed when there are questions related to IOCDs or IORCs.

35. Notification pursuant to paragraphs 32, 33 and 34 shall be sent by CPCs to the Secretariat, by electronic means.

36. CPCs shall provide to the Secretariat a report each year by April 1 for the preceding year to provide the information described in **Annex 6**. The Secretariat shall post these reports on a password protected

section of the website, as soon as practicable. At its request, the Scientific Committee shall have access to the reports received by the Secretariat.

PART IX OTHERS

37. This Resolution shall enter into force on January 1, 2013. The Commission will hold a preparatory workshop in 2012.

38. This Resolution will be reviewed and revised if necessary in 2014 with the view to expanding the scope of application of the Catch Documentation Scheme to species other than the Species as well as any other elements deemed to be revised. Such expansion of the scope shall take into account scientific advice from the Scientific Committee.

39. IOTC Resolution 01/06 concerning the IOTC Bigeye Tuna Statistical Document Programme and 03/03 concerning the Amendment of the Forms of the IOTC Statistical Documents are superseded by this Resolution.

IOTC CATCH DOCUMENT FORM

1. IOTC Catch Document #**				
2. Fishing Vessel Information				
Name*		Flag*		Registration #
Call Sign			IMO/Loyd's # (if issued)	
Fishing License #			License Expiration Date	
3. Catch Information (In case of tagging, use the attached sheet and indicate the total by species here)				
Species*	Gear*	Area*	Catch Dates*	Catch Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
4. Transshipment (at-sea or in-port) Information (if applicable)				
Carrier Name		Carrier Flag		IOTC Registration #
Date	Location of Transshipment		IOTC Observer Name	
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)		Net Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
5. Trade Information				
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, CAN, OT)		Net Weight (kg)*
Exporter Name		Exporter Address		
Export Date				
Point of Export*			Destination*	
Name of Validation Authority			Title	
Signature			Date	
Importer Name		Importer Address		
Import Date				
Point of Import*				

IOTC Processing Declaration

I hereby certify that this processed product derived from the catch accompanied by the IOCD below.

IOCD #	Name and flag of vessel	Date of Verification	Details of Catch	Landed Weight (kg)	Processed Weight (kg)	Weight of Processed Products (kg)

Name and Address of the Processing Plant:

Name and Address of the Exporter (if they are different form those of the processor):

Licensed # of the Processing Plant:

Health Certificate # and Date of Issuance:

Person Responsible for the Processing Plant	Signature	Date	Place

Verification by the Authority:

Person Responsible for Verification	Signature and Seal	Date	Place

IOTC AGGREGATED CATCH DOCUMENT FORM FOR SHARKS TRADE

1. IOTC Aggregated Catch Document #*			
2. IOTC Catch Document # covered by this form			
3. Transaction Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
4. Transaction Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
5. Trade Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Exporter Name		Exporter Address	
Export Date			
Point of Export*		Destination*	
Name of Validation Authority		Title	
Signature		Date	
Importer Name		Importer Address	
Import Date			
Point of Import*			

IOTC RE-EXPORT CERTIFICATE FORM

1. Certificate #						
2. Re-Exporting Country/Entity/Fishing Entity*						
3. Point of Re-Export*						
4. Description of Imported Fish						
Species*	Product Type	Product Form	Net Weight	Flag	Date of Import*	IOCD #*
	(F/FR/D)*	(RD,GG,DR,FL,OT)	(kg)			
5. Description of Fish for Re-Export						
Species*	Product Type	Product Form	Net Weight (kg)*	Corresponding IOCD # from Section 4		
	(F/FR/D)*	(RD, GG, DR, FL, OT)				
Exporter Name			Exporter Address			
Export Date						
Destination*						
Name of Validation Authority			Title			
Signature			Date			
6. Import Section						
Importer Name			Importer Address			
Import Date						
Point of Import*						

Report on the Implementation of the IOTC Catch Documentation Scheme

Reporting CPC:

Period of reference: 1 January [20xx] to 31 December [20xx]

1. Number of IOCDs validated
2. Number of IOCDs received
3. Total amount of products by species imported, exported and re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gear
4. Number of verifications of IOCDs requested to other CPCs and summary results
5. Number of requests for verifications of IOCDs received from other CPCs and summary results
6. Total amount of consignment by species subject to a suspension decision with breakdown by products, nature of operation (import, export, re-export), reasons for suspension and CPCs and/or non-Contracting Parties of origin or destination
7. Total amount of consignment by species subject to a prohibition decision with breakdown by products, nature of operation (import, export, re-export), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination